



**PONTIFICIA UNIVERSIDAD CATÓLICA DEL ECUADOR**

**FACULTAD DE CIENCIAS EXACTAS Y NATURALES**

**ESCUELA DE CIENCIAS BIOLÓGICAS**

**Population Structure and Conservation Status of the Bottlenose Dolphin**

**Population in the Gulf of Guayaquil, Ecuador.**

**Tesis previa a la obtención del título de**

**Magíster en Biología de la Conservación**

**ROSA DE LOS ÁNGELES BAYAS REA**

**Quito, 2015**

Certifico que la Tesis de Magíster en Biología de la Conservación de la candidata Rosa de los Ángeles Bayas Rea ha sido concluida de conformidad con las normas establecidas; por lo tanto, puede ser presentada para la calificación correspondiente.

Rommel Montúfar, Ph.D.

Director de la Tesis

Quito, 13 de octubre de 2015

## AGRADECIMIENTOS

En primer lugar, agradezco al Dr. Rommel Montúfar por aceptar dirigir la presente investigación relacionada con delfines. Muchas gracias por las facilidades para realizar la parte práctica del estudio y la ayuda para conseguir financiamiento, el mismo que fue otorgado por la Maestría de la Biología de la Conservación. Sin ese apoyo, este trabajo no hubiese sido posible. Le agradezco por todas las revisiones y sugerencias para mejorar el manuscrito.

Gracias al Dr. Fernando Félix por la idea de la investigación y todo su apoyo en varias fases del estudio. Desde su gran ayuda en el campo durante la recolección de muestras; así como, en su importante aporte durante las correcciones del manuscrito. Agradezco al Ministerio de Ambiente de la provincia del Guayas por otorgar el permiso de investigación (No 004-IC-FAU-DPG/MAE).

Un agradecimiento especial a todos los amigos que me acompañaron al campo a coleccionar las muestras. A Andrea Torres, Karina Ponce, Andrea Marquínez, Mercedes Serrano y César Yumiseva, muchas gracias. También quisiera agradecer a las personas que manejaron los botes tanto en Posorja, Isla Puná como en Bajo Alto, por todo el apoyo y paciencia para buscar a los delfines.

A Ben Haase por permitir el acceso a la colección de las muestras óseas de delfines depositadas en el Museo de Ballenas de Salinas. A César Yumiseva por la elaboración del mapa de los puntos de colección. A Oscar Pérez por el préstamo de la incubadora para el procesamiento de las muestras óseas.

A los lectores tanto externos como internos, Dra. Johanna Alfaro, Dr. Fernando Félix y M.Sc. Santiago Burneo, muchas gracias por sus valiosos

comentarios en la revisión final del presente manuscrito. A Doyle Beaty por las correcciones del idioma, las mismas que son importantes para mejorar la presentación del manuscrito, muchas gracias.

Agradezco a todas las personas que forman o formaron parte del laboratorio de Genética y Ecología de la PUCE y a todas las personas que de alguna u otra forma apoyaron esta bonita experiencia de trabajar con delfines. Gracias a Gabriel Rivadeneira Gallegos, Ana María Troya, Andrés Recalde, Sebastián Escobar, Nelson Dueñas e Isabel Ojeda. Siempre se aprende algo nuevo de cada persona, gracias.

Gracias a mi familia; en particular, le agradezco mucho a mi hermano Marco por apoyarme en cada una de mis propósitos y lo más importante porque siempre puedo contar con él, en las buenas y en las malas.

Muchas gracias Fer por la ayuda y apoyo en la última etapa de la tesis.

Por último pero no menos importantes, un gracias enorme a todos los delfines que nos permitieron tomar su muestra de piel, GRACIAS...

## TABLE OF CONTENTS

|                                         |     |
|-----------------------------------------|-----|
| AGRADECIMIENTOS.....                    | iii |
| TABLE OF CONTENTS.....                  | iv  |
| LIST OF FIGURES.....                    | vii |
| LIST OF TABLES.....                     | ix  |
| LIST OF SUPPORTING INFORMATION.....     | x   |
| 1. RESUMEN .....                        | 1   |
| 2. ABSTRACT .....                       | 2   |
| 3. INTRODUCTION .....                   | 3   |
| 4. MATERIALS AND METHODS .....          | 6   |
| 4.1. Ethics statement .....             | 6   |
| 4.2. Study area .....                   | 6   |
| 4.3. Sample collection .....            | 7   |
| 4.3.1. Ecuador database .....           | 7   |
| 4.3.2. Worldwide database .....         | 8   |
| 4.4. Dna extraction .....               | 9   |
| 4.5. Molecular sex determination .....  | 9   |
| 4.6. Mitochondrial dna sequencing ..... | 9   |

|                                                                                                          |    |
|----------------------------------------------------------------------------------------------------------|----|
| 4.7. Microsatellite genotyping .....                                                                     | 10 |
| 4.8. Mitochondrial dna data analysis .....                                                               | 11 |
| 4.8.1. Phylogenetic analysis .....                                                                       | 11 |
| 4.8.2. Phylogeographic analysis .....                                                                    | 12 |
| 4.8.3. Population structure .....                                                                        | 12 |
| 4.9. Microsatellite data analysis .....                                                                  | 13 |
| 4.9.1. Population structure .....                                                                        | 13 |
| 5. RESULTS .....                                                                                         | 15 |
| 5.1. Phylogenetic analysis .....                                                                         | 15 |
| 5.2. Phylogeographic analysis .....                                                                      | 16 |
| 5.3. Population structure .....                                                                          | 17 |
| 5.4. Sex-bias dispersal .....                                                                            | 18 |
| 6. DISCUSSION .....                                                                                      | 20 |
| 6.1. Genetic divergence of the estuarine Bottlenose Dolphin<br>population of the Gulf of Guayaquil ..... | 20 |
| 6.2. Population genetics of the estuarine Bottlenose Dolphin .....                                       | 22 |
| 6.3. Conservation implications .....                                                                     | 24 |
| 7. REFERENCES .....                                                                                      | 25 |

|                                  |    |
|----------------------------------|----|
| 8. FIGURES .....                 | 37 |
| 9. TABLES .....                  | 42 |
| 10. SUPPORTING INFORMATION ..... | 45 |

**LIST OF FIGURES**

|                                                                                                                                                                    |    |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| Fig. 1. Map of the Gulf of Guayaquil showing the three sampling sites.....                                                                                         | 37 |
| Fig. 2. Bayesian phylogenetic tree inferred from the analysis of ~5, 268 bp of<br>mtDNA.....                                                                       | 38 |
| Fig. 3. Genealogical relationships among the ~ 400 bp sequences of the<br>hypervariable control region.. ..                                                        | 40 |
| Fig. 4. Bayesian clustering assignment of individual Bottlenose Dolphins to<br>three clusters based on different genotypes at ten microsatellite DNA<br>loci. .... | 41 |

## LIST OF TABLES

|                                                                                                                                                                                                                                |    |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| <b>Table 1.</b> Sequence variation and tree characteristics of combined phylogeny ...                                                                                                                                          | 42 |
| <b>Table 2.</b> Genetic diversity estimates based on clusters inferred by STRUCTURE<br>with ten microsatellites loci.....                                                                                                      | 43 |
| <b>Table 3.</b> Genetic diversity indices based on localities of the Gulf of Guayaquil<br>with ~ 694 bp mitochondrial DNA control region (CR) and ~ 839 bp of<br>a partial sequence of the cytochrome oxidase I (COI) gen..... | 44 |

## LIST OF SUPPORTING INFORMATION

|                                                                                                                                                                          |    |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| MATERIALS AND METHODS S1.....                                                                                                                                            | 45 |
|                                                                                                                                                                          |    |
| S1 Fig. Bayesian phylogenetic tree based on the analysis of ~ 1,625 bp of the<br>partial region 12S rRNA, tRNA-val, and a partial region of 16S rRNA...                  | 46 |
|                                                                                                                                                                          |    |
| S2 Fig. Bayesian phylogenetic tree based on the analysis of ~ 2,103 bp of the<br>partial protein-coding genes ND1, ND2, COII, and Cyt b, and six<br>tRNAs.....           | 48 |
|                                                                                                                                                                          |    |
| S3 Fig. Bayesian phylogenetic tree inferred on the analysis of ~ 694 bp of the<br>mtDNA control region.....                                                              | 50 |
|                                                                                                                                                                          |    |
| S4 Fig. Bayesian phylogenetic tree inferred on the analysis of ~ 839 bp of<br>mtDNA cytochrome oxidase I (COI).....                                                      | 52 |
|                                                                                                                                                                          |    |
| S5 Fig. Neighbor Joining tree displaying the relationships among microsatellite<br>loci of the Bottlenose Dolphin in the inner estuary of the Gulf of<br>Guayaquil. .... | 54 |
|                                                                                                                                                                          |    |
| S6 Fig. Mitochondrial DNA of control region haplotypes found in the inner<br>estuary of the Gulf of Guayaquil.....                                                       | 55 |
|                                                                                                                                                                          |    |
| S7 Fig. Mitochondrial DNA of partial region of cytochrome oxidase I haplotypes                                                                                           |    |

|                                                                                                                                                                             |    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| found in the estuary of the Gulf of Guayaquil.....                                                                                                                          | 56 |
| S1 Table. Sample information. ....                                                                                                                                          | 57 |
| S2 Table. Accession numbers belonging to mitogenome sequences obtained from<br>the GenBank database for the phylogenetic analysis.....                                      | 59 |
| S3 Table. Accession numbers belonging to the mitochondrial CR of <i>Tursiops<br/>truncatus</i> obtained from the GenBank database for the<br>phylogeographic analysis. .... | 60 |
| S4 Table. Primers for mitochondrial DNA used in the present study.....                                                                                                      | 63 |
| S5 Table. Microsatellite DNA loci and additional information used in the present<br>study.....                                                                              | 64 |

El presente trabajo y la literatura citada se encuentran redactados bajo el formato de la revista PLOS ONE.

## 1. RESUMEN

El delfín nariz de botella, *Tursiops truncatus*, tiene una amplia distribución a lo largo de la costa oeste de Sudamérica. En Ecuador, una población residente de delfines habitan el estuario interno del Golfo de Guayaquil, localizado en la parte sudoeste del país. Para evaluar el estado de conservación de esta población, se analizó la estructura poblacional, los patrones filogeográficos y las relaciones filogenéticas en base a microsatélites y genes codificantes y no codificantes del ADN mitocondrial. Para el estudio se colectaron 31 muestras de piel de delfines de tres localidades del estuario interior del Golfo de Guayaquil. Adicionalmente, para los análisis filogenéticos y filogeográficos se incluyeron nueve muestras de piel y 17 de hueso de animales varados. Como resultado, los análisis filogenéticos y filogeográficos revelaron que la población de delfines del estuario constituye un grupo genéticamente diferente, separándose de otras poblaciones de *T. truncatus*. Además, los datos a nivel de microsatélites, región control y del gen citocromo oxidasa I mostraron una estructura poblacional significativa. La diversidad genética fue alta en base a los datos de los microsatélites y el gen citocromo oxidasa I; mientras que, con la región control fue baja. Por otro lado, los resultados con los microsatélites indican altos niveles de endogamia y ausencia de dispersión mediada por el sexo. En base a los resultados se sugiere que la población de delfines del estuario interior del Golfo de Guayaquil representa una unidad evolutiva significativa. Debido a que los delfines del estuario enfrentan una variedad de amenazas antropogénicas, se enfatiza la fragilidad de la población y se sugiere a las autoridades gestionar un manejo y medidas de conservación a corto plazo.

## 2. ABSTRACT

The Bottlenose Dolphin, *Tursiops truncatus*, has a wide distribution along the west coast of South America. In Ecuador, a resident population of Bottlenose Dolphins inhabits the inner estuarine area of the Gulf of Guayaquil, located in the southwestern part of the country. In order to evaluate the conservation status of this population, population structure, phylogeographical patterns, and phylogenetic relationships were assessed using loci microsatellites and non-coding and coding-protein gene of the mitochondrial DNA. Therefore, 31 epidermal samples were collected from free-ranging dolphins in three localities in the inner estuary of the Gulf of Guayaquil. In addition, 9 skin and 17 bone samples from stranded dolphins were included into phylogenetic and phylogeographic analysis. Our phylogenetic analysis indicated that this estuarine Bottlenose Dolphin population constitutes an isolated group with high levels of genetic differentiation from other populations of *T. truncatus*. The results also showed a significant population structure with microsatellite loci, control region, and the cytochrome oxidase I gene. In addition, the results show a high genetic diversity with microsatellites and the cytochrome oxidase I gene, and low genetic diversity with mitochondrial control region. Moreover, high levels of inbreeding, and no evidence of sex-bias dispersal were identified in microsatellite data. Based on the results, we suggested that the estuarine Bottlenose Dolphin population of the inner estuary in the Gulf of Guayaquil represents a distinct Evolutionary Significant Unit. Since these estuarine Bottlenose Dolphins face a variety of anthropogenic threats in this area, we highlight the fragility of the dolphin population and urge authorities to issue management and conservation measures promptly.

### 3. INTRODUCTION

Monitoring the conservation status of coastal, small, and resident populations of marine mammals is very important for conservational and management purposes [1]. Three of the main genetic issues in conservation biology are phylogenetic status, genetic diversity, and population structure [2]. Phylogenetic status allows the understanding of the taxonomic relationships of the species. Genetic diversity is one of the three levels of diversity that requires conservation since it represents the ability to adapt and evolve in response to environmental changes [2, 3]. Population genetic structure provides insight into the impacts of mutation, genetic drift, selection, and migration on population dynamics [3, 4]. Altogether, the evidence provides Evolutionary Significant Units (ESUs) and Management Units (MUs) [5]. ESU is a population or group of populations with adaptive divergence and reproductive isolation whereas MUs is associated with demographically isolated populations [3] in which the most important is the rate of births and deaths [5]. Thus, information on phylogeny, genetic diversity, and population structure of threatened coastal wildlife populations are essential to develop appropriate conservation and management strategies.

The genus *Tursiops* includes at least two accepted species based on molecular and morphological differences [6]. The species are *T. aduncus* (Ehrenberg, 1833) and *T. truncatus* (Montagu, 1821) with two subspecies, *T. truncatus ponticus* and *T. truncatus truncatus* [6]. The aduncus-type dolphin from South Africa has been proposed as different species [7]; currently, its status as species is not accepted [6]. Recently, an endemic small population of the Australian continent, the Burrunan dolphin (*T. australis*), also has been suggested as a new species based on morphological, genetic differentiation [8-10], and phylogenetic analysis [11]. Nevertheless, its status as distinct species is not confirmed due

to the insufficient supporting evidence [6]. Although several subspecies were reported in the Mediterranean [12, 13], only two subspecies of *T. truncatus* are recognized nowadays [6].

The Bottlenose Dolphin, *Tursiops truncatus*, is widely distributed in pelagic and coastal waters; including sounds, bays, and estuaries [6]. Its adaptation to different environmental conditions has generated two different ecotypes, coastal and offshore [7, 14]. The two ecotypes can be in sympatry or parapatry [15]. The offshore ecotype is present in pelagic, coastal and insular waters [14, 16, 17] whereas the coastal ecotype was reported only in coastal, estuarine, bays, and continental areas [18, 19]. The offshore ecotype is highly dispersed, has high levels of genetic diversity and a lack of population structure [7, 14, 20]. On the contrary, most of the coastal populations show population structure at small geographical scales with low genetic diversity at the regional level worldwide [21, 22, 23].

Although the Bottlenose Dolphin is present along the west coast of South America [6], only one genetic study was carried-out for this species in this wide region. Sanino et al. [16] evaluated the genetic diversity and the phylogenetic relationships of two groups of offshore and two coastal dolphin populations from Peru and Chile. Among these populations, three different evolutionary units, one offshore Peruvian-Chilean population and two different coastal Peruvian and Chilean populations, were found. In Ecuador, information on the Bottlenose Dolphin is scarce. The presence of the offshore ecotype has been reported in the Galápagos Islands and in pelagic waters [24, 25]. The coastal ecotype was documented in the inner estuary of the Gulf of Guayaquil, including Jambelí Channel, Puná Island [26, 27], Morro Channel, Posorja Harbor, and Bajo Alto mangroves [28]. Two studies were carried out in the 90's based on behavioral ecology, organization, and social

structure of the Bottlenose Dolphin [26, 27]. These studies reported on the presence of a single resident coastal population of around 600 dolphins divided into at least five communities in Jambelí Channel and Puná Island. A more recent study described a single resident population of around 45 dolphins inhabiting Morro Channel within the Morro Mangrove Wildlife Refuge [28]. The social organization of the Bottlenose Dolphin in the Gulf of Guayaquil was characterized as a hierarchically structured society in which females are organized in bands while males form alliances in order to obtain a dominant status and access mature females [27]. In addition, Bottlenose Dolphins in the Gulf of Guayaquil form small or big groups so they can forage at a specific site [26, 28].

The Bottlenose Dolphins are listed as a species of Least Concern (LC) in the Red List of the International Union of Conservation of Nature (IUCN) [29] and in Appendix II of the Convention on International Trade in Endangered Species of wild Fauna and Flora (CITES) [30]. In Ecuador, this species is considered Vulnerable (VU) according the Ecuadorian Mammal Red List, and protected by Ecuadorian law so that hunting and trade is prohibited in the country indefinitely [31]. Although the species is classified as protected, the Bottlenose Dolphin population in the inner estuary of the Gulf of Guayaquil (hereafter estuarine Bottlenose Dolphin) is one of the most vulnerable to threats of human activities, including intense fishing, vessel collision [32, 33], water pollution, popular tourism [28, 31], habitat degradation, and dredging activities [28].

In order to assess the population status of the estuarine Bottlenose Dolphin population in the inner estuary of the Gulf of Guayaquil in terms of phylogeny, population structure, and genetic diversity, a genetic study was conducted using different molecular markers. This type of information is important to improve local management strategies for such discrete populations and to ensure their long-term conservation.

## **4. MATERIALS AND METHODS**

### **4.1. ETHICS STATEMENT**

No ethical approval was considered necessary due to the fact that the animals were not handled directly and a non-invasive sampling technique was used; for that reason, the sampling technique was not submitted for an ethical analysis. The samples of free ranging dolphins were collected following the guidelines specified by the research permits given by the Department of Guayas, Environmental Ministry of Ecuador (permit number No 004-IC-FAU-DPG/MAE).

### **4.2. STUDY AREA**

The Gulf of Guayaquil, the largest estuary of the southeast Pacific coast, is located in the southwestern part of Ecuador ( $3^{\circ}\text{S}$  and  $81^{\circ}\text{W}$ ) (Fig. 1). The entrance of the gulf is 200 km wide, stretching from Santa Elena ( $2^{\circ}12'\text{S}$ ), Ecuador to near Máncora ( $4^{\circ}07'\text{S}$ ), north of Peru, and extending 130 km inland. The Gulf of Guayaquil includes an outer estuary and an inner estuary. The outer estuary begins at the west side of Puná Island ( $80^{\circ}15'\text{W}$ ) and ends  $81^{\circ}\text{W}$ ; whereas, the inner estuary extends inland in several directions, embracing a complex of islands covered in mangroves and channels. Two large branches, Estero Salado and the Guayas River, extend northeastward. Puná Island lies between two channels: Morro Channel, stretching 3 km northeast, and Jambelí Channel, a channel 11-28 km wide that connects the outer estuary with the Guayas River [34]. Morro Channel is part of a protected area of the Morro Mangroves Wildlife Refuge, ( $2^{\circ}39'\text{S}$  and  $80^{\circ}11'\text{W}$ ), a reserve of approximately 10,130 hectares of mangrove forest [35]. In the north part of Puná Island, the Cascajal Channel connects the Estero Salado to the Guayas River [34].

The climate of the study area is regulated by two seasons: one dry and cold, and one warm and rainy. In the outer estuary, the surface water temperature varies from 21.5°C to 25°C during the dry and warm seasons respectively. Whereas, in the inner estuary, the water surface temperature fluctuates between 25°C during the dry season to 28°C during the warm season. Rainfall is seasonal with more than 95 % of the precipitation occurring from December to May, causing seasonal river discharge [36]. Guayas River is the main contributor of freshwater to the estuary [34].

The Guayas estuary ecosystem is characterized by high biological productivity in which there are around 148,000 hectares of mangrove forest. The mangrove is characterized by water saturated with poor drainage, has and by the presence of a high biodiversity of fish, aquatic birds, and marine invertebrates [37].

### **4.3. SAMPLE COLLECTION**

#### **4.3.1. ECUADOR DATABASE**

A total of 31 epidermal cell samples were collected from free-living dolphins in three different sites (Posorja Harbor n = 22, Morro Channel n = 7, and Puná Island n = 2) in the inner estuary of the Gulf of Guayaquil (Fig. 1). The collection of the epidermal samples took place between March and August 2013. Swab samples were taken from the dorsal-lateral region of the dolphins based on the non-invasive technique reported by Harlin et al. [38] with a few modifications. A sterilized square piece of sand paper 5 cm x 5 cm was used instead of a nylon scrub pad wrapped around the tip of a pole. Epidermal cells samples were removed with sterilized forceps and stored in 100 % ethanol for subsequent genetic analysis.

In addition, 38 museum samples were included into the study; of which, 37 were obtained from stranded dolphins (8 skin and 29 bone samples), and one skin sample from a Galápagos free-living dolphin (permit number No PC-13-05). The stranded samples were collected from different localities of the Gulf of Guayaquil; principally from Mar Bravo and Punta Carnero seashores located in Salinas. These samples are available at the collection of the “Museo de Ballenas” (Whale museum) in the city of Salinas. Skull and mandible bone powder was gathered based on the technique reported by Morin et al. [39]. All genetic analysis was performed in the Laboratory of Ecology and Genetic at the Pontifical Catholic University of Ecuador. The data of all samples was included into the study and its distribution is summarized in S1 Table.

#### 4.3.2. WORLDWIDE DATABASE

In order to perform a phylogenetic analysis, a total of 22 mitogenomic sequences belonging to *Tursiops truncatus* (n = 13), *T. aduncus* (n = 3), Burrunan dolphin (*T. australis*, n = 2), *Delphinus capensis* (n = 1), *Sousa chinensis* (n = 1), *Stenella attenuata* (n = 1), and *S. coeruleoalba* (n = 1) were taken from the GenBank database (S2 Table) and included in the present study. The sequences of the harbor porpoise, *Phocoena phocoena* (accession number NC\_005280.1), and narwhal, *Monodon monoceros* (accession number NC\_005279.1), were included as outgroups. Additionally, 91 haplotype sequences of 400 bp from different geographical regions made available at the GenBank database were included to investigate the phylogeographic relationships among haplotypes located in the Gulf of Guayaquil and other populations of *T. truncatus* (accession numbers, S3 Table) elsewhere.

#### **4.4. DNA EXTRACTION**

Total genomic DNA from 31 epidermal cells and 9 skin samples was isolated using a modified proteinase K digestion protocol and two chloroform:isoamyl (24:1) extractions followed by ethanol precipitation [40]. DNA from the bone powder of 20 samples was extracted using a Wizard Genomic DNA Purification Kit (Promega), following the manufactures' protocol. The concentration and purity of genomic DNA was analyzed using a Nanodrop spectrophotometer (Thermo Scientific).

#### **4.5. MOLECULAR SEX DETERMINATION**

Dolphin sex was identified from 31 epidermal samples from free-ranging dolphins with a duplex Polymerase Chain Reaction (PCR) amplification using two sets of primers, ZFX0582F and ZFX0923R [41], to target a partial fragment of the ZFX gene, and PMSRYF [42] and TtSRYR [43] to amplify a partial fragment of the SRY gene. PCR amplification reactions were carried out based on those reported by Rosel [43], with a few modifications detailed in Materials and Methods S1.

#### **4.6. MITOCHONDRIAL DNA SEQUENCING**

Partial mitochondrial DNA from 31 epidermal samples from free ranging dolphins and nine skins museum samples were amplified by PCR using seven sets of primers. (i) A fragment of ~1,062 bp comprising partial regions of 12S rRNA, tRNA-Val, and a 16S rRNA was amplified using the primers mt12F-12S RNA and mt12R-12S RNA [44]. (ii) A partial fragment of 16S rRNA (~590 bp) was amplified using the primers 16SarL and 16SbrH [45]. (iii) The region composing partial fragments of NADH dehydrogenase subunit I y II (ND1 y ND2) genes, tRNA-Ile, tRNA-Gln, and tRNA-Met was amplified using the ND1F and ND1R [46]. (iv) A partial region of the cytochrome oxidase I (COI,

~830 bp) gene was acquired with the primers COXI F and COXI R [47]. (v) A fragment of ~ 800 bp including the complete cytochrome oxidase II (COII) gene, and a partial tRNA-Asp and tRNA-Lys was achieved using primers CO2LCet and CO2RCet [48]. (vi) A fragment of ~ 450 bp of partial tRNA-Glu and cytochrome b (Cytb) gene was amplified with the primers L14724 [45] and H15149 [49]. (vii) The control region (CR, ~ 800 bp) was amplified using the primers dLp1.5t-pro [50] and dLp8G [51]. For the 29 bone DNA samples, the hypervariable region of CR (~ 500 bp) was amplified with the primers dLp1.5t-pro and dLp5 [50].

The details of each set of primers and PCR conditions are in the S4 Table. All PCR products were purified for sequencing using exonuclease I and shrimp alkaline phosphatase (ExoSap-IT®) and then by incubating at 37°C for 30 minutes and 80°C for 15 minutes. Both strands were sequenced by MACROGEN (Seoul, Korea). All sequences were manually edited using BioEdit 7.2.3 software [52]. In order to validate the data, all sequences were analyzed by using the BLAST algorithm in GenBank [53]. Multiple alignments were performed for each gene using CLUSTAL W implemented in MEGA 6 software [54].

#### **4.7. MICROSATELLITE GENOTYPING**

A total of 31 epidermal samples from free-ranging dolphins were genotyped at 10 microsatellite loci. Primer sets for loci D8, D22, TexVet5, TexVet7, Ttr11, and TtrRC12 were derived from *Tursiops truncatus* [55-57]; MK6, MK9, and Tur4\_91 from *T. aduncus* [58, 59]; and EV37 from humpback whales (*Megaptera novaeangliae*) [60]. The details of each set of primers and conditions of PCR are in the S5 Table. The PCR products were separated by electrophoresis in 6 % denaturing polyacrylamide gels and visualized by silver nitrate staining, following the protocol described by Benbouza et al. [61].

## 4.8. MITOCHONDRIAL DNA DATA ANALYSIS

### 4.8.1. PHYLOGENETIC ANALYSIS

For the phylogenetic analysis, 40 Ecuadorian samples (31 free-ranging dolphin samples and 9 skin museum samples) and 24 sequences from GenBank (22 from different species of Delphinidae and two as outgroups) were used. A Bayesian inference was achieved in concatenated sequences of seven fragments of mitochondrial DNA. Additionally, the analysis was done in four groups: (i) a partial fragment of 12S rRNA, tRNA-Val, and a partial fragment of 16S rRNA, (ii) protein-coding genes with its tRNAs, (iii) CR, and (iv) the COI partial gene. To perform the phylogenetic analysis, mitochondrial data was analyzed based on two partitioning schemes to apply the substitution model unto non-coding and protein-coding regions. Non-coding regions were concatenated in three groups: (i) the two partial ribosomal RNA genes (12S rRNA and 16S rRNA), (ii) the seven partial tRNA genes (tRNA-Val, tRNA-Ile, tRNA-Gln, tRNA-Met, tRNA-Asp, tRNA-Lys, and tRNA-Glu), and (iii) CR. The protein-coding genes (ND1, ND2, COI, COII, and Cytb) were divided into three partitions considering the first, second, and third codon positions [62]. The best-fitted model of nucleotide evolution under the Akaike Information Criterion (AIC) was inferred using jModelTest v.2.1.4 software [63].

All phylogenetic analysis was performed using MrBayes v.3.2.2 [64]. Posterior probabilities of the trees and parameters in the evolutionary model were approximated with Markov Chain Monte Carlo (MCMC). Two independent runs of four chains were carried out to 20,000,000 and 10,000,000 generations for complete and individual analyses respectively with a 200,000 and 100,000 burn-in, sampling every 5,000 generations. In order to ensure mixing and convergence of the posterior distribution and parameters,

effective sample size (ESS) values were evaluated using Tracer v.1.6 software [65]. The tree was visualized and edited using the FigTree v.1.4.2 [66].

#### 4.8.2. PHYLOGEOGRAPHIC ANALYSIS

In the phylogeographic analysis, we included 57 samples (31 epidermal cells samples from free-ranging dolphins, 9 skin and 17 of 29 bone samples from the museum) that amplified ~ 500 bp belonging to the hypervariable region within CR. Additionally, 91 haplotypes from different geographic localities were in alignment with Ecuadorian samples. The genealogical relationships at the haplotype level were inferred using median-joining network implemented in Network v.4.6.0 software [67].

#### 4.8.3. POPULATION STRUCTURE

To investigate population structure and genetic variability within and among sampling sites, 31 epidermal samples from free-ranging dolphins were used to analyze the CR (~ 800 bp) and the COI gene (~ 830 bp) as they were the most variable sequences. Population genetic differentiation was calculated using an analysis of molecular variance (AMOVA) [68] based on haplotype data ( $F_{ST}$ ), haplotype frequency and genetic distance ( $\phi_{ST}$ ) via Arlequin v.3.5 [69]. The Tamura-Nei [70] model with a gamma correction of 0.5 was used to estimate the distance between sequences.  $F_{ST}$  and  $\phi_{ST}$  significance levels were tested with 10,000 permutations.

The variability of both mitochondrial regions (CR and COI) was compared to haplotypes of *Tursiops truncatus* from the Gulf of California (accession number KF570389.1). Genetic diversity was estimated by calculating the number of haplotypes and haplotype diversity ( $h$ ) using DNAsp v.5.10.01 [71], and nucleotide diversity ( $\pi$ ) with the Arlequin v.3.5 software [69]. Tajima's D test [72] and Fu's  $F_s$  test [73] of selective

neutrality were estimated with Arlequin v.3.5. Significance of both neutrality tests was inferred by randomization (10,000 steps).

## **4.9. MICROSATELLITE DATA ANALYSIS**

### **4.9.1. POPULATION STRUCTURE**

As for the analysis of population structure, we used 31 free-ranging dolphin samples from the inner estuary of the Gulf of Guayaquil. A Bayesian model-based clustering method was used to determine the most probable number of distinct nuclear genetic clusters and assign individuals to each one using STRUCTURE v.2.3.3 [74]. The admixture model with correlated allelic frequencies was selected, without specifying the sampling location. The model was run with the most probable number of clusters (K) set to values of 1 to 5 with a burn in period of 10,000 iterations followed by 100,000 MCMC iterations. Five independent runs for each number of clusters were carried out for each K value. The real K value was detected by calculating the modal value of  $\Delta K$ , a quantity based on the second order rate of change with respect to K of the likelihood function [75] using Structure Harvester web v.0.6.93 [76]. The five independent runs from STRUCTURE were analyzed with the CLUMPP 1.1.2 [77]. The results were visualized using DISTRUCT 1.1 [78].

The degree of genetic differentiation among clusters was tested by calculating pairwise  $F_{ST}$  using Tamura Nei distances [70] with Arlequin v.3.5 software [69]. An analysis of molecular variance (AMOVA) was implemented to compute significance with 10,000 permutations. Cluster interrelationships were estimated with the Neighbor Joining (NJ) algorithm as implemented in PAUP v.4.0b10 [79]. Bootstrap confidence estimates were based on 1,000 replications [80].

Measurements of genetic diversity were based on three clusters and evaluated by calculating the number of private alleles (PA), the inbreeding coefficient ( $F_{IS}$ ), observed and expected heterozygosity ( $H_o$  and  $H_e$ ) and the number of alleles (NA) using FSTAT v.2.9.3.2 [81]. Significant deviations from the Hardy-Weinberg Equilibrium (HWE) were tested using Markov Chain Parameters with 1,000 iterations with Genepop web v.4.2 software [82].

The evidence of sex-biased dispersal of the three clusters was estimated according to the corrected assignment index (A<sub>ic</sub>) using GENALEX 6 [83]. In addition, sex-biased dispersal was evaluated by analyzing the differences in  $F_{IS}$ ,  $F_{ST}$ , relatedness, mean assignment index, and variance of assignment indices [84] using FSTAT v.2.9.3.2 [81] and 10,000 permutations.

## 5. RESULTS

### 5.1. PHYLOGENETIC ANALYSIS

Of a total of 40 sequences, 29 non-shared haplotypes were identified in Ecuador (21 from the Gulf of Guayaquil, one from Galápagos Islands, and seven from stranded dolphins). Fifty-three concatenated haplotype sequences of ~5,268 bp from seven mtDNA fragments, 29 no shared haplotypes from Ecuador and 24 haplotypes sequences from GenBank, were included into the phylogenetic analysis. The mtDNA sequences of 53 haplotypes presented 1,008 variable sites of which 527 were informative sites and 43 included gaps. Details from sequence variation, the substitution model, and tree characteristics are shown in Table 1.

Bayesian inference with concatenated sequences produced a well-defined and strongly supported tree with posterior probability values  $> 90$  for most nodes. All *Tursiops truncatus* sequences formed a single clade with a probability value of 100. The 21 haplotypes from the free-ranging dolphins pertaining to the inner estuary and from the two stranded animals (from Peru and a locality in Mar Bravo) presented a posterior probability value of 100, grouping them into a cluster separate from other coastal and pelagic *T. truncatus* populations. Additionally, four haplotypes from the stranded dolphins (one from Punta Carnero and three from the Mar Bravo locality) were clustered with the single haplotype from the Gulf of California (accession number KF570389.1), whereas two haplotypes (from the Galápagos Islands and the Mar Bravo locality) were clustered with the haplotypes from the Atlantic Ocean, the Black Sea, and the Mediterranean Sea (Fig. 2).

The four individual phylogenetic analyses revealed three different results. The Bayesian inference with non-coding genes (12S rRNA, tRNA-Val, and a partial fragment

of 16S rRNA), and protein coding genes (ND1-ND2, COII, and Cytb) demonstrated that Ecuadorian dolphin sequences cluster together within the *Tursiops truncatus* cluster (S1 and S2 Figs.). Whereas the phylogenetic analysis of the CR showed that the estuarine Bottlenose Dolphins of the inner estuary of the Gulf of Guayaquil and the two samples of stranded dolphins (Peru and the Mar Bravo locality) are grouped into the clade conformed by *T. aduncus* (accession number KF570362.1), *Delphinus capensis* (accession number NC\_012061.1), and *Stenella coeruleoalba* (accession number NC\_012053.1) (S3 Fig.). Finally, the analysis of the COI gene indicated that most of the free ranging dolphins' sequences from the inner estuary of the Gulf of Guayaquil clustered into a single clade, separate from other species of the family Delphinidae (S4 Fig.).

## 5.2. PHYLOGEOGRAPHIC ANALYSIS

A total of 57 sequences of 400 bp of the CR from Ecuador (31 samples from free-ranging dolphins, plus 9 skin and 17 bone museum samples) and 91 sequences from different geographic localities were aligned. The median-neighbor joining method showed the relationships among haplotypes from different populations of Bottlenose Dolphins, revealing evidence of a phylogeographic pattern. The network analysis indicated that the group of haplotypes from the free-ranging dolphins plus the haplotypes from the stranded dolphins collected at different areas of the Gulf of Guayaquil (H\_94, H\_100, H\_101, H\_103, and H\_104) and one from Peru (H\_105) clustered together and diverged from the other haplotypes of different geographic localities. The main characteristic of this group was the presence of a central haplotype (H99) from the inner estuary of Gulf of Guayaquil. The central haplotype was connected to other haplotypes from the inner estuary, one from Peru, and one from the Gulf of California (H\_47, accession number HE617284.1). The other haplotypes (H92, H\_93, H\_94-H98, and H\_102) were more related to other

populations of dolphins, mainly from the Northeast Pacific. Additionally, the network revealed several mutational steps (~16) away from the main group indicating a divergence of haplotypes (Fig. 3). Overall, the Median-joining network method was consistent, showing a close relationship among CR haplotypes of the free-dolphins of the inner estuary of the Gulf of Guayaquil, indicating phylogeographic separation.

### 5.3. POPULATION STRUCTURE

Two approaches with different genetic information were used in order to evaluate population structure. Thirty-one epidermal cell samples from free-ranging dolphins were genotyped at 10 cetacean microsatellite loci. No matching genotypes were identified in microsatellite data; furthermore, microsatellite D22 was monomorphic. The Bayesian analysis performed using STRUCTURE proposed three clusters (K) of estuarine dolphin samples: cluster 1 (n = 11), cluster 2 (n = 8), and cluster 3 (n = 12) (Fig. 4). The pattern of genetic structure in the population was inferred from Bayesian clustering and confirmed with Neighbor Joining (S5 Fig.). The AMOVA based on the three clusters identified by the Bayesian analysis identified an 18.61% percentage of variation among groups. Fixation indices among clusters indicated a structured population ( $F_{ST} = 0.186$ ,  $P < 0.05$ ).

Genetic diversity was calculated based on the three clusters identified by STRUCTURE with microsatellite data. The mean number of alleles ranged between 3.3 and 4.1, and expected heterocigosity varied from 0.52 to 0.63. Overall, genetic diversity ranged from 0.35 to 0.49 within groups whereas the global high was 0.50. Global inbreeding values were high and significant over all loci and samples ( $F_{IS} = 0.51$ ,  $P < 0.001$ ). All clusters exhibited significant deviations from the Hardy-Weinberg Equilibrium (Table 2).

The AMOVA performed with 694 bp of the CR and 839 bp of COI indicated genetic structuration between sites (CR: percentage of variation among groups = 16.96 %,  $F_{ST} = 0.169$ ,  $P < 0.05$ , and COI: percentage of variation among groups = 11.78 %,  $F_{ST} = 0.117$ ,  $P < 0.05$ ). However, the analysis of genetic distances ( $\phi_{ST}$ ) was unable to detect differences between localities (CR: percentage of variation among groups = 18.59 %,  $\phi_{ST} = 0.186$ ,  $P = 0.074$ , and COI: percentage of variation among groups = -4.03 %,  $\phi_{ST} = -0.04$ ,  $P = 0.483$ ).

The analysis of genetic diversity showed seven polymorphic sites, revealing seven haplotypes not previously described (Table 3). Three haplotypes were shared among the sites Posorja Harbor and Morro Channel; three haplotypes were unique to Posorja Harbor, and one to Puná Island (S6 Fig.). The analysis of the COI gene revealed 54 polymorphic sites defining eleven haplotypes (Table 3). Three haplotypes were common between Posorja Harbor and Morro Channel, one haplotype shared between Puná Island and Morro Channel; five haplotypes were unique to Posorja Harbor, and two to Morro Channel (S7 Fig.). Overall, haplotype diversity ( $h$ ) was low ( $h = 0.7$ ) and high ( $h = 0.83$ ) for CR and COI respectively while nucleotide diversity (CR:  $\pi = 0.002$ , COI:  $\pi = 0.016$ ) was low for both mitochondrial markers (Table 3).

#### 5.4. SEX-BIAS DISPERSAL

A total of 31 epidermal cells samples from free-ranging Bottlenose Dolphins in the inner estuary of Gulf of Guayaquil were identified by molecular sex analysis. Molecular sex determination showed a sampling bias in favor of males (22) over females (9) (sex ratio 2.4:1). Sex-biased dispersal was estimated across all dolphin populations in terms of the corrected assignment index (A<sub>ic</sub>). The mean assignment bias (A<sub>ic</sub>) was 0.325 for female and -0.108 for male, the A<sub>ic</sub> values were not significant ( $P > 0.05$ ), suggesting no

sex bias dispersal. Sex-biased dispersal tests showed lower estimated  $F_{IS}$ ,  $F_{ST}$  values, or relatedness for females ( $F_{IS} = 0.357$ ,  $F_{ST} = 0.082$ , and Relatedness = 0.116) than for males ( $F_{IS} = 0.431$ ,  $F_{ST} = 0.178$ , and relatedness = 0.232); nevertheless, values were not significant ( $P > 0.05$ ). The mean assignment index (female = -0.123 and male = 0.05), and variance of assignment (female = 5.83 and male = 6.79) indices were non-significant ( $P > 0.05$ ). Overall, the assignment tests and the sex-biased dispersal tests were unable to detect any sex-biased differences in the dispersal behavior of males and females.

## 6. DISCUSSION

### 6.1. GENETIC DIVERGENCE OF THE ESTUARINE BOTTLENOSE DOLPHIN POPULATION OF THE GULF OF GUAYAQUIL

The phylogenetic relationships of the species of the genus are controversial. Our phylogenetic analysis revealed a clear separation of *Tursiops truncatus* from the Burrunan dolphin (*T. australis*) population and the accepted species *T. aduncus*. In addition, the phylogenetic reconstruction did not support the monophyly of the genus similar to other studies based on mtDNA [9, 11 46]. The relationships inside the genus can be related to the rapid radiation of the species [6]. This pattern was evidenced in the discordance the phylogeny based on individual genes. This could be attribute to the incomplete lineage sorting or events of the hybridization between species in the entire family [85]. However, the most relevant pattern was the genetic divergence between the estuarine Bottlenose Dolphin from the Gulf of Guayaquil and the coastal and offshore *T. truncatus* populations. This adaptive divergence may be as a consequence of environmental drivers as it has been suggested to explain the diversification of the genus [11]. The coastal species *T. aduncus* presents a similar pattern, two genetically diverging populations originating from the Indo-Pacific Ocean and South Africa [7, 11]. In order to understand the taxonomic status of the estuarine Bottlenose Dolphins in the Gulf of Guayaquil a combination of environmental, genetic, ecological, and morphometric studies are required.

At an intraspecific level, the systematics of *Tursiops truncatus* is not well defined. Several studies have suggested that coastal and offshore ecotypes could constitute different lineages of *T. truncatus* [11]. In particular, the coastal populations are genetically well differentiated between each other worldwide [17]. The high levels of genetic

differentiation reported by mitochondrial data suggest that the estuarine Bottlenose Dolphins inhabiting the inner estuary of the Gulf of Guayaquil have a different evolutionary pattern. This could be a consequence of geographic isolation, territorial behavior [86], and the form in which the population is structured in semi-closed resident communities, precluding any genetic influx from nearby areas of the Gulf of Guayaquil. Thus, this population constitutes an isolated population with high levels of genetic differentiation.

It has been suggested that limited interaction or gene exchange supports genetic variation and isolation that may lead to speciation [7, 12, 87, 88]. The lack of gene exchange between estuarine Bottlenose Dolphins and other populations of *T. truncatus* could have occurred after a possible founder effect of coastal dolphins in embayment areas [17, 18, 87]. A similar pattern was proposed for the Mediterranean Sea subpopulations of *T. truncatus* [11]. Founder events play an important role in adaptation to new environments [88] with local specializations for resources [14]. It was suggested that habitat specialization in *T. truncatus* occurred independently in different areas over a wide range of distribution [88] and was driven by environmental factors such as salinity, temperature and productivity [12]. The inner estuary of the Gulf of Guayaquil has a high level of ecological diversity with specific abiotic and biotic characteristics. For example, the estuary contains a network of islands and channels, sand and mud banks, sandy beaches, as well as a high tidal range of 2-3 m, among other features. The mangrove forests and the oceanographic characteristics of the estuary such as temperature and salinity contribute to the high diversity of prey species [36]. The especial characteristics of the estuary could have driven genetic differentiation and adaptive divergences as occur with other marine species (see [89]). As a consequence, this estuarine Bottlenose Dolphin population could have been confined to small areas within the inner estuary and have adapted to the habitat

with specialized foraging behavior thus generating possible isolation from other populations of *T. truncatus*.

## **6.2. POPULATION GENETICS OF THE ESTUARINE BOTTLENOSE DOLPHIN**

Coastal dolphin populations present genetic differentiation at fine geographical scale in different parts of the world [21, 22, 23]. This pattern is also observed in the estuarine Bottlenose Dolphin population from the Gulf of Guayaquil, which presents population genetic structure. In our analysis,  $F_{ST}$  values (0.186;  $P < 0.05$ ) of microsatellites based on three genetic groups identified by STRUCTURE and the CR (0.169) suggested high population structure over small geographical scales (see [90]). Those values were higher than other populations of *Tursiops truncatus* in which the  $F_{ST}$  values ranged between 0.002-0.071 [23, 91]. Similar high levels of structure have also been reported from two communities of coastal *T. truncatus* in Ireland [22]. This high value of genetic differentiation is expected in large geographical scales [20, 91].

The sex-biased dispersal pattern plays an important role in determining the genetic structure of the population. In mammals, male dispersion is expected whereas females tend to be philopatric [92]. Male dispersion and female philopatry have been reported in some coastal populations of Bottlenose Dolphins [93]. In contrast, our assignment test and sex-biased dispersal test were unable to detect any sex-biased difference in the dispersal behavior of males and females. The lack of evidence for sex-biased dispersal for the estuarine Bottlenose Dolphins reported in this study could be related to the small sample size. However, these results are similar to other coastal populations in which both males and females present some degree of philopatry [12, 18, 19, 23]. The advantage of philopatry might help social facilitation of foraging and transferable communication over

generations [87]. This fidelity site may also promote inbreeding as suggested by the high inbreeding coefficient levels ( $F_{IS} = 0.51$ ,  $P < 0.001$ ) and would explain the deviation from the HWE found in this estuarine Bottlenose Dolphin population.

Bottlenose Dolphins in the Gulf of Guayaquil also showed low and high levels of genetic diversity. The low levels of genetic diversity were revealed by the mtDNA control region (CR:  $h = 0.7$ ,  $\pi = 0.002$ ). Similar values were documented in coastal dolphins [7, 15, 17, 21] and estuarine resident populations [23] in contrast to offshore dolphins. The results of the present research can be compared with those from the study described by Parson and colleagues [93] who worked with 29 samples in Bahamas and reported low genetic diversity ( $h = 0.69$  and  $\pi = 0.0009$  to  $0.0164$ ). On the contrary, high levels of genetic diversity were found in the study analyzing mtDNA COI and nuclear DNA (COI:  $h = 0.83$   $\pi = 0.016$ ; mean  $H_e$ :  $0.57$ ). The high values of COI genetic diversity are related to offshore populations [7, 17, 20] and a few coastal small populations of New Zealand [17]. High mitochondrial diversity levels could be the result of population expansion [88], generating an excess of haplotypes distinguishable from each other by one or a few mutations [94]. However, the population expansion hypothesis is not supported by the Tajima's and Fu's  $F_s$  selective neutrality tests (CR: Tajima's  $D = 0.632$  ns; Fu's  $F_s = -0.655$  ns; COI: Tajima's  $D = -0.324$  ns; Fu's  $F_s = 4.074$  ns). The low nucleotide diversity values (CR:  $\pi = 0.002$ , COI:  $\pi = 0.016$ ) found in the present study are similar to populations that have experienced a population bottleneck [95]; for instance, strong reduction of genetic diversity has been previously reported in other cetaceans, such as *Orcinus orca* [96].

### 6.3. CONSERVATION IMPLICATIONS

Based on the results in the present study, the pattern of genetic divergence and the lack of gene flow suggest that the Bottlenose Dolphin population inhabiting the inner estuary of the Gulf of Guayaquil is an isolated population with a unique genealogical and adaptive legacy. Therefore, management and conservation strategies need to be developed for the conservation of this population soon, before current activities cause irreversible damage. Such strategies have to be different to those applied to other populations of *Tursiops truncatus* due to the particular genetic diversity. Besides the low genetic diversity, another aspect of major concern is the high level of inbreeding, which makes this population more vulnerable to environmental stochasticity. This population must be considered as an Evolutionary Significant Unit (ESU) and its conservation status should be reevaluated. Although the sample size is small, our findings provide information on the molecular baseline for management; therefore, actions should not be delayed.

Ecological and evolutionary processes acting on this population may be affected by human activities, such as intense fishing, vessel traffic, water pollution, tourism, dredging, and habitat destruction, among others. Under this uncertain scenario, a priority for dolphin conservation requires that strategies should be orientated to minimize the impact of the above-mentioned human activities [97]. Improving conservation of the mangrove ecosystem is a key part of a future strategy because it constitutes a refuge for dolphins, but it will not sufficient if direct threats such as bycatch, collision with ships and irresponsible tourism are not addressed simultaneously.

## 7. REFERENCES

1. Davidson A, Boyer A, Kim H, Pompa-Mansilla S, Hamilton M, et al. Drivers and hotspots of extinction risk in marine mammals. *Proc Natl Acad Sci U S A*. 2012; 109: 3395–3400.
2. Frankham R, Ballou J, Briscoe D. *Introduction to conservation genetics*. New York, USA: Cambridge University Press; 2010.
3. Allendorf F, Luikart G, Aitke S. *Conservation and the genetics of populations*. Second edition. Willey-Blackwell; 2013.
4. Taylor B, Martien K, Morin P. Identifying Units to conserve using genetic data. In: Boyd I, Bowen D, Iverson S, editors. *Marine mammal ecology and conservation: A handbook of techniques*. Oxford, UK: Oxford University Press; 2010. pp. 306-324.
5. Funk W, Mckay J, Paul H, Allendorf F. Harnessing genomics for delineating conservation units. *Trends Ecol Evol*. 2012; 27: 489-496.
6. Wang J, Riehl K, Dungan S. Delphinidae (Ocean Dolphins). In: Wilson D, Mittermeier R, editors. *Handbook of the mammals of the world*. Vol.4. Sea mammals. Barcelona: Lynx Edicions; 2014. pp. 410-424.
7. Natoli A, Peddemors V, Hoelzel R. Population structure and speciation in the genus *Tursiops* based on microsatellite and mitochondrial DNA analyses. *J Evol Biol*. 2004; 17: 363-375.
8. Charlton K, Taylor A, MacKechnie S. A note on divergent mtDNA lineages of Bottlenose Dolphins from coastal waters of southern Australia. *J Cetacean Res Manag*. 2006; 8: 173-179.

9. Möller LM, Bilgmann K, Charlton-Robb K, Beheregaray L. Multi-gene evidence for a new Bottlenose Dolphin species in southern Australia. *Mol Phylogenet Evol.* 2008; 49: 674-681.
10. Charlton-Robb K, Gershwin L, Thompson R, Austin J, Owen K, et al. A new dolphin species, the Burruman dolphin *Tursiops australis* sp. Nov., endemic to Southern Australian coast waters. *PLoS One.* 2011; 6: e24047.
11. Moura A, Nielsen S, Vilstrup J, Moreno-Mayar V, Gilbert M, et al. Recent diversification of a marine genus (*Tursiops* spp.) tracks habitat preference and environmental change. *Syst Biol.* 2013; 0: 1-13.
12. Natoli A, Birkun A, Aguilar A, Lopez A, Hoebel R. Habitat structure and the dispersal of male and female Bottlenose Dolphins (*Tursiops truncatus*). *Proc R Soc B Biol Sci.* 2005; 272: 1217-1226.
13. Viaud-Martinez K, Brownell R, Komnenou A, Bohonak A. Genetic isolation and morphological divergence of Black Sea Bottlenose Dolphins. *Biol Conserv.* 2008; 141: 1600-1611.
14. Hoebel A, Potter C, Best P. Genetic differentiation between parapatric “nearshore” and “offshore” populations of the Bottlenose Dolphin. *Proc R Soc B Biol Sci.* 1998; 265: 1177-1183.
15. Caballero S, Islas-Villanueva V, Tezanos-Pinto G, Duchene S, Delgado-Estrella A, et al. Phylogeography, genetic diversity and population structure of common Bottlenose Dolphins in the wider Caribbean inferred from analyses of mitochondrial DNA CR sequences and microsatellite loci: Conservation and management implications. *Anim Conserv.* 2011; 15: 95-112.

16. Sanino G, Van Waerebeek K, Van Bressemer MF, Pastene L. A preliminary note on population structure in Eastern South Pacific common Bottlenose Dolphins, *Tursiops truncatus*. J Cetacean Res Manag. 2005; 7: 65-70.
17. Tezanos-Pinto G, Barker C, Russell K, Martien K, Baird R, et al. A Worldwide perspective on the population structure and genetic diversity of Bottlenose Dolphins (*Tursiops truncatus*) in New Zealand. J Hered. 2009; 100: 11–24.
18. Sellas A, Wells R, Rosel P. Mitochondrial and nuclear DNA analyses reveal fine scale geographic structure in Bottlenose Dolphins (*Tursiops truncatus*) in the Gulf of Mexico. Conserv Genet. 2005; 6: 715-728.
19. Parson K, Durban J, Claridge D, Herzing D, Balcomb K, et al. Population genetic structure of coastal Bottlenose Dolphins (*Tursiops truncatus*) in the northern Bahamas. Mar Mamm Sci. 2006; 22: 276-298.
20. Quérrouil S, Silva M, Freitas L, Prieto R, Magalhães S. High gene flow in oceanic Bottlenose Dolphins (*Tursiops truncatus*) of the North Atlantic. Conserv Genet. 2007; 8: 1405-1419.
21. Fruet P, Secchi E, Daura-Jorge F, Vermeulen E, Flores P, et al. Remarkably low genetic diversity and strong population structure in common bottlenose dolphins (*Tursiops truncatus*) from coastal waters of Southwestern Atlantic Ocean. Conserv Genet. 2014. doi:10.1007/s10592-014-0586-z
22. Mirimin L, Miller R, Dillane E, Ingram S, Cross T, Rogan E. Fine-scale population genetic structuring of Bottlenose Dolphins in Irish coastal waters. Anim Conserv. 2011; 14: 342–353.
23. Rosel P, Hansen L, Hohn A. Restricted dispersal in a continuously distributed marine species: common Bottlenose Dolphins *Tursiops truncatus* in coastal waters of the western North Atlantic. Mol Ecol. 2009; 18: 5030-5045.

24. Palacios D, Salazar S, Day D. Cetacean remains and standings in the Galápagos Islands, 1923-2003. *Lat Am J Aquat Mamm.* 2004; 3: 127-150.
25. Jiménez, P., Torres, S., Alava, J., Samaniego J. Inventario, abundancia y distribución espacial de mamíferos marinos y aves en las islas Galápagos durante el crucero oceanográfico (Base Orión) en abril 2009: implicaciones para la conservación. *Acta Oceanográfica del Pacífico.* 2011; 16 (1): 75-94.
26. Félix F. Ecology of the coastal Bottlenose Dolphin *Tursiops truncatus* in the Gulf of Guayaquil, Ecuador. *Investigations on Cetacean.* 1994; 25: 235-256.
27. Félix F. Organization and social structure of the coastal Bottlenose Dolphin *Tursiops truncatus* in the Gulf of Guayaquil, Ecuador. *Aquat Mamm.* 1997; 23.1: 1-16.
28. Jiménez P, Alava J. Population Ecology and Anthropogenic Stressors of the Coastal Bottlenose Dolphin (*Tursiops truncatus*) in the El Morro Mangrove and Wildlife Refuge, Guayaquil Gulf, Ecuador: Towards Conservation and Management Actions. In: Samuels J, editor. *Dolphins: Ecology, Behavior and Conservation Strategies*; 2014. pp. 129-163.
29. Hammond P, Bearzi G, Bjørge A, Forney K, Karkzmarski L, et al. *Tursiops truncatus*. In: IUCN 2012. *IUCN Red List of Threatened Species. Versión 2015.2.* [cited 2015 september 6]. Available: <http://dx.doi.org/10.2305/IUCN.UK.2012.RLTS.T22563A17347397.en>
30. CITES. Appendices I, II, and III. 2015. [cited 2015 september 6]. Available: <http://www.cites.org/eng/app/appendices.php>.
31. Jiménez P, Alava J, Castro C, Denkinger J, Haase B, et al. Bottlenose Dolphin (*Tursiops truncatus*) In: Tirira DG, editor. *Libro rojo de los mamíferos del Ecuador. 2ª. Edición.* Quito: Fundación Mamíferos y conservación. Pontificia

- Universidad Católica del Ecuador y Ministerio del ambiente del Ecuador. Publicación especial sobre los mamíferos del Ecuador 8; 2011. pp. 229-230.
32. Van Waerebeek K, Van Bresseem M, Félix F, Alfaro J, García A, et al. Mortality of dolphins and porpoises off Peru and southern Ecuador in 1994. *Biol Conserv.* 1997; 81: 43-49.
  33. Van Waerebeek K, Barker A, Félix F, Gedamke J, et al. Vessel collisions with small cetaceans worldwide and with large whales in the Southern Hemisphere, and initial assessment. *Lat Am J Aquat Mamm.* 2007; 6: 43-69.
  34. Stevenson M. Variaciones estacionales en el Golfo de Guayaquil, un estuario tropical. *Boletín Científico y técnico del Instituto Nacional de Pesca de Ecuador.* 1981; 4: 5-32.
  35. Alava J, Saavedra M, Arosemena X, Calle M, Vinueza C, et al. Distributional Records and Potential Threats to the Common (Mangrove) Black Hawk (*Buteogallus anthracinus subtilis*) in the Southwestern Ecuador. *Bol SAO.* 2011; 20: 18-28.
  36. Montaña M, Sanfeliu T. Ecosistema Guayas (Ecuador). Medio ambiente y Sostenibilidad. Introducción. *Revista tecnológica ESPOL.* 2008; 21:1-6.
  37. CLIRSEN. Estudio multitemporal de manglares, camaroneras y salinas al año 2006. Guayaquil: Centro de Levantamiento Integrado de Recursos Naturales por Sensores Remotos/Ministerio de Defensa, Ministerio del Ambiente, Programas de recursos pesqueros. 2007.
  38. Harlin A, Baker S, Markowitz T. Skin Swabbing for genetic analysis: application to dusky dolphins (*Lagenorhynchus obscurus*). *Mar Mamm Sci.* 1999; 15: 409-425.

39. Morin P, Leduc R, Robertson K, Hedrick N, Ferrin W, et al. Genetic analysis of killer whale (*Orcinus orca*) historical bone and tooth samples to identify western U.S. ecotypes. *Mar Mamm Sci.* 2006; 22: 897-909.
40. Green M, Sambrook J. *Molecular Cloning: A Laboratory Manual* (4th Ed.). Nueva York: Cold Spring Harbor Laboratory Press; 2012.
41. Berubé M, Palsbøll P. Identification of sex in Cetacean by multiplexing with three ZFX and ZFY specific primers. *Mol Ecol.* 1996; 5: 283-287.
42. Richard W, McCarrey S, Wright J. DNA sequences from the SRY gene of the sperm whale (*Physeter macrocephalus*) for use in molecular sexing. *Can J Zool.* 1994; 72: 873-877.
43. Rosel P. PCR-based sex determination in Odontocete cetaceans. *Conserv Genet.* 2003; 4: 647-649.
44. Cunha H, Moraes L, Medeiros B, Lailson-Brito J, da Silva V, et al. Phylogenetic status and timescale for the diversification of *Steno* and *Sotalia* dolphins. *PLoS One.* 2011; 6: e28297.
45. Palumbi S, Martin A, Romano S, McMillan W, Stice L, et al. *The simples fool's guide to PCR.* Universty of Hawaii, Honolulu; 1991.
46. Xiong Y, Brandley M, Xu S, Zhou K, Yang G. Seven new dolphin mitochondrial 30 genomes and a time-calibrated phylogeny of whales. *BMC Evol Biol.* 2009. doi:10.1186/1471-2148-9-20
47. Amaral A, Sequeira M, Celho M. A first approach to the usefulness of cytochrome c oxidase I barcodes in the identification of closely related delphinid cetacean species. *Mar Freshw Res.* 2007; 58: 505-510.

48. McGowen M, Clark C, Gatesy J. The vestigial olfactory receptor subgenome of odontocete whales: phylogenetic congruence between gene-tree reconciliation and supermatrix methods. *Syst Biol.* 2008; 57: 574-590.
49. Kocher T, Thomas W, Meyer A, Edwards S, Pääbo S, et al. Dynamics of mitochondrial DNA evolution in animals: amplification and sequencing with conserved primers. *Proc Natl Acad Sci U S A.* 1989; 86: 6196-6200.
50. Dalebout ML, Van Helden A, Van Waerebeek K, Baker CS. Molecular genetic identification of southern hemisphere beaked whales (Cetacea: Ziphiidae). *Mol Ecol.* 1998; 7: 687-695.
51. Lento GM, Patenaude NJ, Baker CS. Molecular genetic identification of whale and dolphin products for sale in Japan and Korea, 1995-97. Submission to the Scientific Committee of the International Whaling Commission. SC/49/O21; 1997.
52. Hall TA. BioEdit: a user-friendly biological sequence alignment editor and analysis program for Windows 95/98/NT. *Nucl Acids Symp Ser.* 1999; 41: 95-98.
53. Altschul S, Madden T, Schäffer A, Zhang J, Zhang Z, et al. Gapped BLAST and PSI-BLAST: a new generation of protein database search programs. *Nucl Acids Res.* 1997; 25: 3389-3402.
54. Tamura K, Stecher G, Peterson D, Filipski A, Kumar S. MEGA6: Molecular Evolutionary Genetics Analysis version 6.0. *Mol Biol Evol.* 2013; 30: 2725-2729.
55. Shinohara M, Domingo-Roura X, Takenaka O. Microsatellites in the Bottlenose Dolphin *Tursiops truncatus*. *Mol Ecol.* 1997; 6: 695-696.
56. Rooney A, Merrit D, Derr J. Microsatellite diversity in captive Bottlenose Dolphins (*Tursiops truncatus*). *J Hered.* 1999; 90: 228-231.

57. Rosel P, Forgetta V, Dewar K. Isolation and characterization of twelve polymorphic microsatellite markers in Bottlenose Dolphins (*Tursiops truncatus*). Mol Ecol Notes. 2005; 5: 830-833.
58. Krützen M, Valsecchi E, Connor C, Sherwin B. Characterization of microsatellite loci in *Tursiops aduncus*. Mol Ecol Notes. 2001; 1: 170-172.
59. Nater A, Kopps A, Krützen M. New polymorphic tetranucleotide microsatellites improve scoring accuracy in the Bottlenose Dolphin *Tursiops aduncus*. Mol Ecol. 2009; 9: 531-534.
60. Valsecchi E, Amos W. Microsatellite markers for the study of cetacean populations. Mol Ecol. 1996; 5: 151-156.
61. Benbouza H, Jacquemin J, Baudoin J, Mergeai G. Optimization of a reliable, fast, cheap and sensitive silver staining method to detect SSR markers in polyacrylamide gels. Biotechnology Agronomy Society and Environment. 2006; 10: 77-81.
62. Shapiro B, Rambaut A, Drummond A. Choosing appropriate substitution models for the phylogenetic analysis of protein-coding sequences. Mol Biol Evol. 2006; 23: 7-9.
63. Darriba D, Taboada GL, Doallo R, Posada D. jModelTest 2: more models, new heuristics and parallel computing. Nature Methods. 2012; 9: 772.
64. Ronquist F, Teslenko M, Van Der Mark P, Ayres D, Darling A, et al. MrBayes 3.2: Efficient bayesian phylogenetic inference and model choice across a large model space. Syst Biol. 2012; 61: 539-542.
65. Rambaut A, Suchard MA, Xie D, Drummond AJ. Tracer v1.6. 2014. Available: <http://beast.bio.ed.ac.uk/Tracer>
66. Rambaut, A. FigTree v.1.4.2. 2015. Available: <http://tree.bio.ed.ac.uk/software/figtree/>

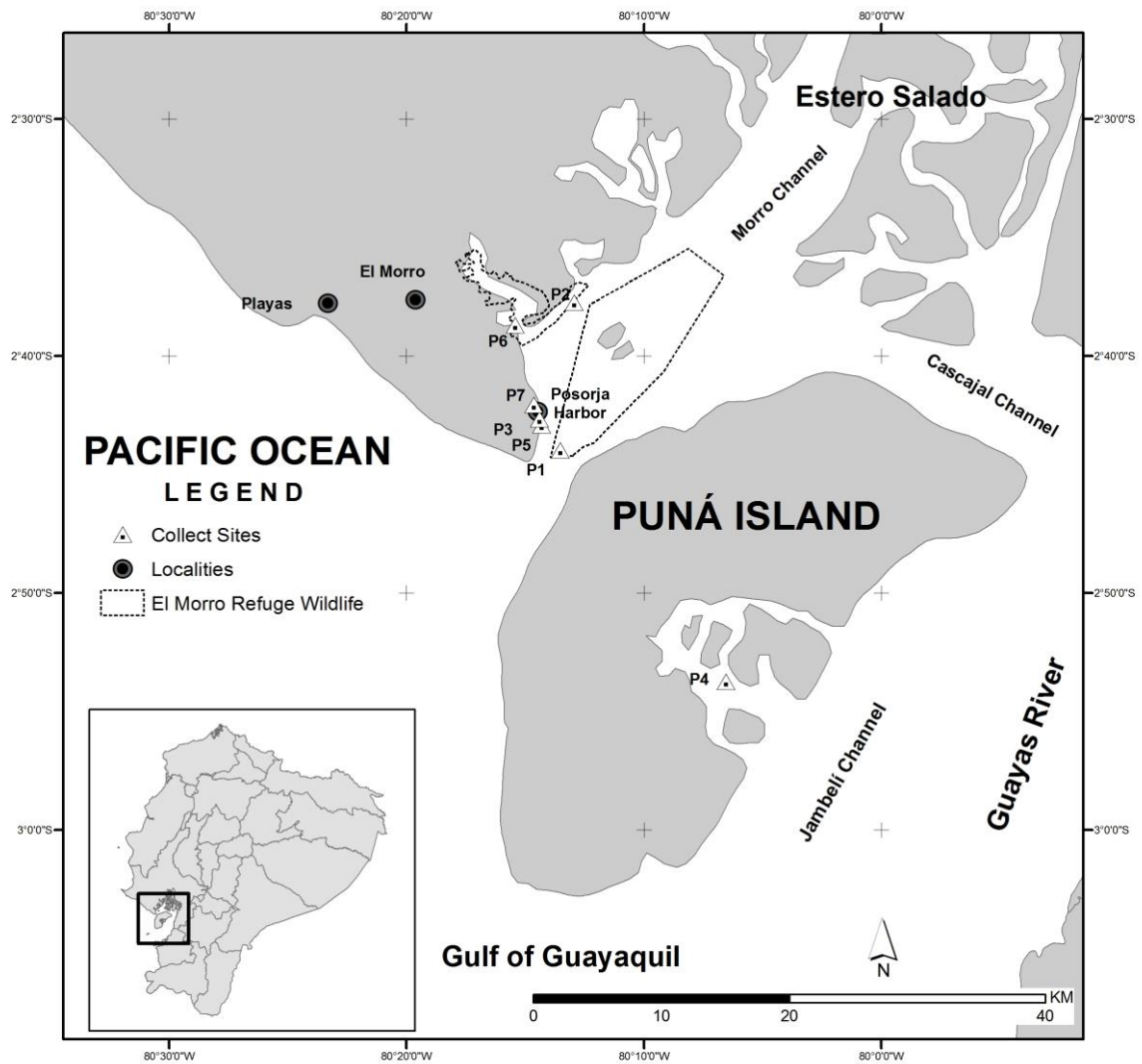
67. Bandelt H, Forster P, Röhl A. Median-joining networks for inferring intraspecific phylogenies. *Mol Biol Evol.* 1999; 16: 37-48.
68. Excoffier L, Simouse P, Quattro J. Analysis of molecular variance inferred from metric distances among DNA haplotype application to human mitochondrial DNA restriction data. *Genetics.* 1992; 131: 479-491.
69. Excoffier L, Lischer H. Arlequin suite ver 3.5: a new series of programs to perform population genetics analyses under Linux and Windows. *Mol Ecol Resour.* 2010; 10: 564-567.
70. Tamura K, Nei M. Estimation of the number of nucleotide substitutions in the control region of mitochondrial DNA in humans and Chimpanzees. *Mol Biol Evol.* 1993; 10: 512-526.
71. Librado P, Rozas J. DnaSP v5: a software for comprehensive analysis of DNA polymorphism data. *Bioinformatics.* 2009; 25: 1452-1452.
72. Tajima F. Statistical method for testing the neutral mutation hypothesis by DNA polymorphism. *Genetics.* 1989; 123: 585-595.
73. Fu Y. Statistical tests of neutrality of mutations against population growth, hitchhiking, and background selection. *Genetics.* 1997; 147:915-925.
74. Pritchard J, Stephens M, Donnelly P. Inference of population structure using multilocus genotype data. *Genetics.* 2000; 155: 945-959.
75. Evano G, Regnaut S, Goudet J. Detecting the number of clusters of individuals using the software STRUCTURE: a simulation study. *Mol Ecol.* 2005; 14: 2611-2620.
76. Earl DA, vonHoldt BM. STRUCTURE HARVESTER: a website and program for visualizing STRUCTURE output and implementing the Evanno method. *Conserv Genet Resour.* 2012; 4: 359-361.

77. Jakobsson M, Rosenberg N. CLUMPP: a cluster matching and permutation program for dealing with label switching and multimodality in analysis of population structure. *Bioinformatics*. 2007; 23: 1801–1806.
78. Rosenberg N. DISTRUCT: a program for the graphical display of population structure. *Mol Ecol Notes*. 2004; 4: 137–138.
79. Swofford D. PAUP\*: Phylogenetic Analysis Using Parsimony (\* and other methods). Sunderland (SA): Sinauer Associates; 2000.
80. Felsenstein J. Confidence limits of phylogenies: an approach using the bootstrap. *Evolution*. 1985; 39: 183-791.
81. Goudet J. FSTAT, a program to estimate and test gene diversities and fixation indices (Version 2.9.3). 2002. Available: <http://www.unil.ch/izea/software/fstat.html>.
82. Raymond M, Rousset F. GENEPOP (version 1.2): population genetic software for exact test and ecumenicism. *J Hered*. 1995; 86: 248-249.
83. Peakall R, Smouse P. GENALEX 6: genetic analysis in Excel. Population genetic software for teaching and research. *Mol Ecol Notes*. 2010; 6: 288-295.
84. Goudet J. Test for sex-biased dispersal using bi-parentally inherited genetic markers. *Mol Ecol*. 2002; 11: 1103-1114.
85. Amaral A., Jackson J, Möller K, Beheregaray L, Coelho M. Species tree of a recent radiation: the subfamily Delphininae (Cetacea, Mammalia). *Mol Phylogenet Evol*. 2012; 64: 243-253.
86. Félix F. Escorting behavior: a territorial manifestation in wild Bottlenose Dolphins? *Estudios Oceanológicos*. 2001; 20: 69-72.

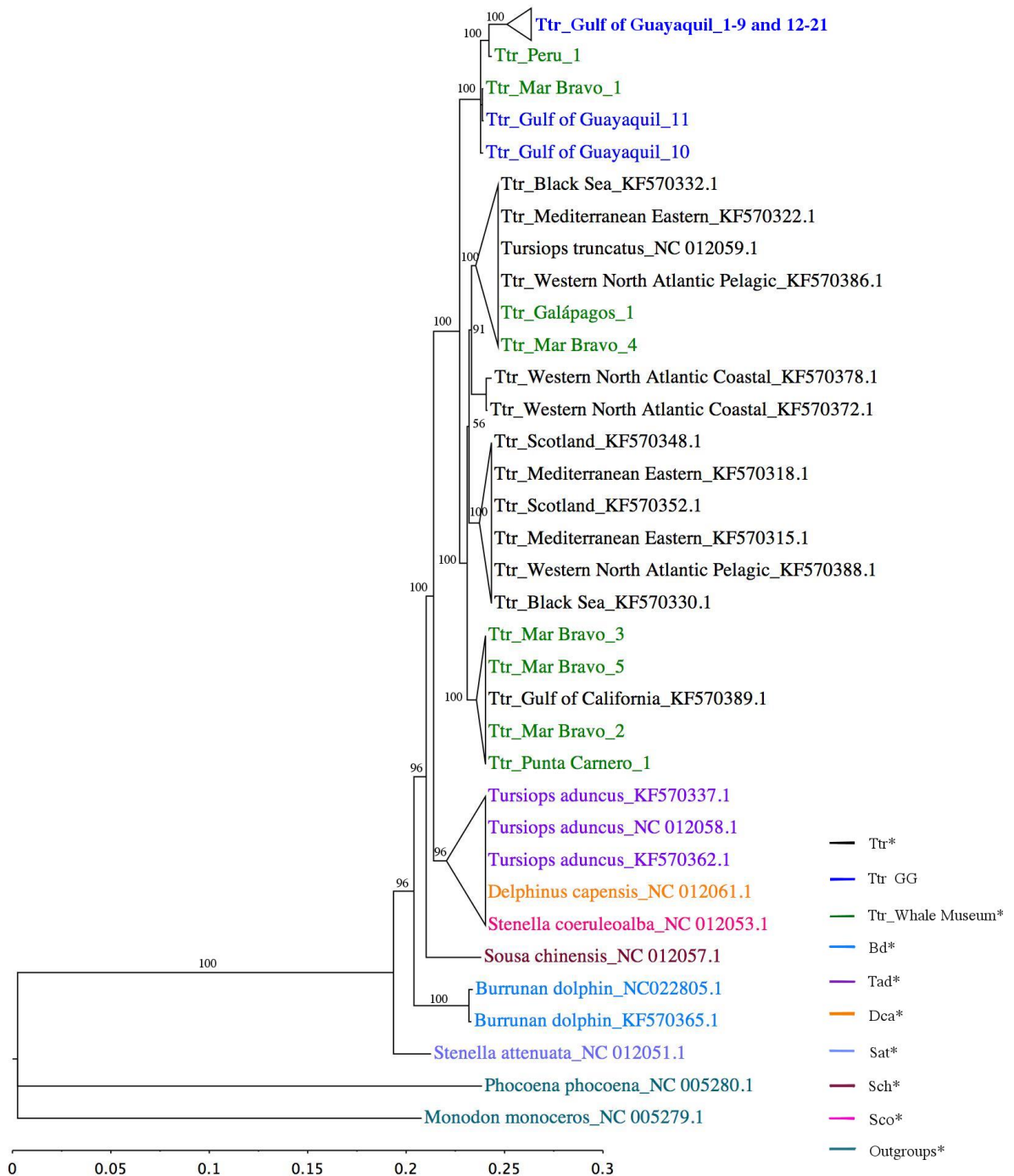
87. Möller, L., Wiszniewski, J., Allen, S., Beheregaray, L. Habitat type promotes rapid and extremely localized genetic differentiation in dolphins. *Mar Freshwa Res.* 2007; 58: 640-648.
88. Avise J. *On Evolution*. Baltimore, USA: Johns Hopkins University Press; 2007. ProQuest ebrary.
89. Beheregaray L, Sunnucks P. Fine-scale genetic structure, estuarine colonization and incipient speciation in the marine silverside fish *Odontesthes argentinensis*. *Mol Ecol.* 2001; 10: 2849-2866.
90. Balloux F, Lugon-Moulin N. The estimation of population differentiation with microsatellite markers. *Mol Ecol.* 2002; 11: 155-165.
91. Gaspari S, Scheinin A, Holcer D, Fortuna C, Natali C, Genov T, et al. Driver of Population structure of the Bottlenose Dolphin (*Tursiops truncatus*) in the Eastern Mediterranean Sea. *Evol Biol.* 2015; 42 (2): 177-190.
92. Greenwood PJ. Mating systems, philopatry and dispersal in birds and mammals. *Anim Behav.* 1980; 28: 140–162.
93. Parsons KM, Noble LR, Reid RJ, Thompson PM. Mitochondrial genetic diversity and population structuring of UK Bottlenose Dolphins (*Tursiops truncatus*): is the NE Scotland population demographically and geographically isolated? *Biol Conserv.* 2002; 108: 175-182.
94. Rogers A, Harpending H. Population growth makes waves in the distribution of pairwise differences. *Mol Biol Evol.* 1992; 9: 552-569.
95. Luikart G, Cornuet JM. Empirical evaluation of a test for identifying recently bottlenecked populations from allele frequency data. *Conserv Biol.* 1998; 12: 228–237.

96. Moura A, van Rensburg C, Pilot M, Tehrani A, Best P, Thornton M, et al. Killer Whale Nuclear Genome and mtDNA Reveal Widespread Population Bottleneck during the Last Glacial Maximum. *Mol Biol Evol.* 2014; 31 (5): 1121-1131.
97. Reeves RR, Smith BD, Crespo EA, Notarbartolo di Sciara G. Dolphins, Whales and Porpoises: 2002–2010 Conservation Plan for the World's Cetaceans. In: IUCN/SSC Cetacean Specialist Group. IUCN, Gland and Cambridge, UK, 2003.

## 8. FIGURES

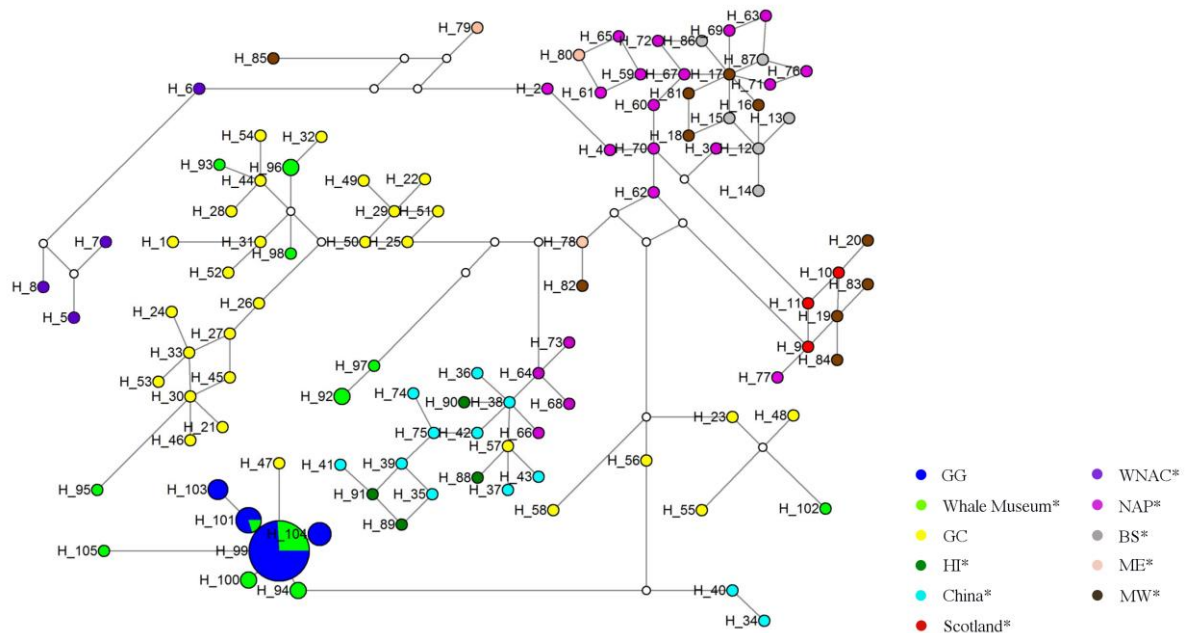


**Fig. 1. Map of the Gulf of Guayaquil showing the three sampling sites.** The sites are represented by the letter P, which indicates the GPS points where collection of the epidermal cells of free-ranging dolphins took place. Posorja Harbor (P1: Ttr38-Ttr46, P3: Ttr49-Ttr52, P5: Ttr55-Ttr57, P7: Ttr63-Ttr68), Morro Channel (P2: Ttr47-Ttr48, P6: Ttr58-Ttr62), and Puná Island (P4: Ttr53-Ttr54).

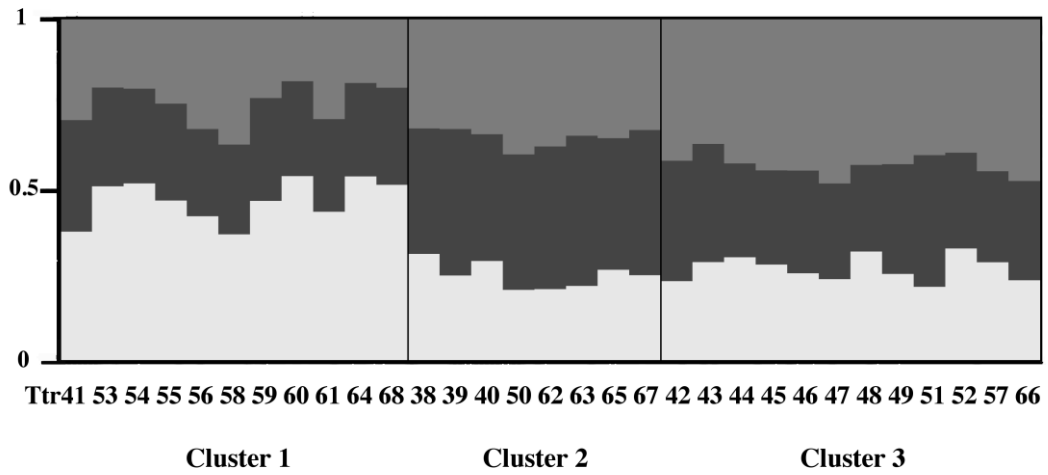


**Fig. 2.** Bayesian phylogenetic tree inferred from the analysis of ~ 5,268 bp of mtDNA. The phylogram shows the relationship between the Bottlenose Dolphins from the inner estuary of the Gulf of Guayaquil and the haplotypes from different localities. Numbers next to or above the main branches represent the posterior probability values

as a result of the search of MCMC. The haplotypes identified in this study are termed Ttr (*Tursiops truncatus*) plus Galápagos, Mar Bravo, Gulf of Guayaquil or Peru. The sequences obtained from GenBank are labeled with their accession number. The name of haplotype are colored according to species shown in the legend. (\*) represents the haplotypes obtained from the Whale Museum or GenBank database. Outgroups include the harbor porpoise, *Phocoena phocoena*, and the narwhal, *Monodon monoceros*. Acronyms: Ttr\_GG = *Tursiops truncatus* of Gulf of Guayaquil, Bd = Burrunan dolphin (*Tursiops australis*), Tad = *Tursiops aduncus*, Dca = *Delphinus capensis*, Sat = *Stenella attenuata*, Sch = *Sousa chinensis*, Sco = *Stenella coeruleoalba*.



**Fig. 3. Genealogical relationships among the ~ 400 bp sequences of the hypervariable control region.** Circle size is related to the number of individuals that share the same haplotype. The circles are colored according to the geographical region shown in the legend. The white circle corresponds to the missing or intermediated haplotype. The longitude of the branch is proportional to the number of mutational steps among haplotypes. (\*) represents the haplotypes obtained from the Whale Museum or GenBank database. Acronyms: GG = Gulf of Guayaquil, GC = Gulf of California, HI = Hawaiian Islands, WNAC = Western North Atlantic Coastal, NAP = North Atlantic Pelagic, BS = Black Sea, ME = Mediterranean Easter, MW = Mediterranean Western.



**Fig. 4. Bayesian clustering assignment of individual Bottlenose Dolphins to three clusters based on different genotypes at ten microsatellite DNA loci.** Each color represents an inferred genetic cluster (K). Each column symbolizes individual dolphins grouped into a genetic cluster according to its genetic identity.

## 9. TABLES

**Table 1. Sequence variation and tree characteristics of combined phylogeny.**

| <b>Mitochondrial region</b>          | <b>N</b> | <b>Length (bp)</b> | <b>Substitution model</b> | <b>S</b> | <b>Parsimony informative sites</b> | <b>Indels</b> |
|--------------------------------------|----------|--------------------|---------------------------|----------|------------------------------------|---------------|
| 12S rRNA-16S rRNA                    | 53       | ~1,558             | TrN+I                     | 163      | 65                                 | 13            |
| tRNA genes                           | 53       | ~ 392              | TIM3+I                    | 56       | 25                                 | 1             |
| Control region                       | 53       | ~ 701              | GTR+I+G                   | 156      | 81                                 | 29            |
| First position protein-coding genes  | 53       | ~ 873              | TrN+I                     | 116      | 67                                 | -             |
| Second position protein-coding genes | 53       | ~ 873              | TPM3uf                    | 27       | 9                                  | -             |
| Third position protein-coding genes  | 53       | ~ 871              | TIM+I+F                   | 490      | 280                                | -             |
| Total                                | 53       | ~ 5,268            |                           | 1,008    | 527                                | 43            |

Total number of haplotype sequences (N), polymorphic sites (S).

**Table 2. Genetic diversity estimates based on clusters inferred by STRUCTURE with ten microsatellites loci.**

| <b>Cluster</b> | <b>N</b> | <b>Ne</b> | <b>P</b> | <b>A</b> | <b>PA</b> | <b>Ho</b> | <b>He</b> | <b>F<sub>IS</sub></b> | <b>Gene diversity</b> |
|----------------|----------|-----------|----------|----------|-----------|-----------|-----------|-----------------------|-----------------------|
| <b>1</b>       | 11       | 2.49      | 7        | 4.7      | 0.3       | 0.30      | 0.57      | 0.47 ***              | 0.497                 |
| <b>2</b>       | 8        | 2.13      | 6        | 3.3      | 0         | 0.29      | 0.52      | 0.42***               | 0.350                 |
| <b>3</b>       | 12       | 2.39      | 7        | 4.1      | 0.4       | 0.44      | 0.63      | 0.30***               | 0.442                 |
| <b>Total</b>   | 31       | 2.3       | 8        | 4.7      | -         | 0.30      | 0.57      | 0.51 ***              | 0.503                 |

Cluster 1 (Ttr41, Ttr53-Ttr56, Ttr58- Ttr61, Ttr64, and Ttr68), Cluster 2 (Ttr38- Ttr40, Ttr50, Ttr62, Ttr63, Ttr65, and Ttr67); Cluster 3 (Ttr42. Ttr49, Ttr51, Ttr52, Ttr57, and Ttr66); Number of individuals genotyped (N); mean number of effective alleles (Ne); number of polymorphic loci (P); mean numbers of alleles (A); mean number of private alleles (PA); expected heterozygosity (He); observed heterozygosity (Ho); the inbreeding coefficient (F<sub>IS</sub>); \*\*\*  $P < 0.001$ .

**Table 3. Genetic diversity indices based on localities of the Gulf of Guayaquil with ~ 694 bp mitochondrial DNA control region (CR) and ~ 839 bp of a partial sequence of the cytochrome oxidase I (COI) gene.**

| Collection Sites | CR |   |   |      |      |       |            |           | COI |    |    |      |      |       |            |          |
|------------------|----|---|---|------|------|-------|------------|-----------|-----|----|----|------|------|-------|------------|----------|
|                  | N  | S | H | Hd   | K    | $\Pi$ | Tajima's D | Fu's Fs   | N   | S  | H  | Hd   | K    | $\Pi$ | Tajima's D | Fu's Fs  |
| Morro Channel    | 7  | 2 | 3 | 0.52 | 0.57 | 0.001 | - 1.006 ns | -0.921 ns | 7   | 14 | 6  | 0.95 | 4.0  | 0.005 | - 0.59 ns  | -1.59 ns |
| Puná Island      | 2  | 0 | 1 | 0    | 0    | 0     | 0          | 0         | 2   | 0  | 1  | 0    | 0    | 0.000 | 0          | 0        |
| Posorja Harbor   | 22 | 7 | 6 | 0.72 | 1.96 | 0.003 | 0.893 ns   | -0.153 ns | 22  | 54 | 8  | 0.86 | 15.6 | 0.019 | 0.212 ns   | 6.84 ns  |
| Total            | 31 | 7 | 7 | 0.71 | 1.84 | 0.002 | 0.632 ns   | -0.655 ns | 31  | 54 | 11 | 0.83 | 12.3 | 0.016 | - 0.324 ns | 4.074 ns |

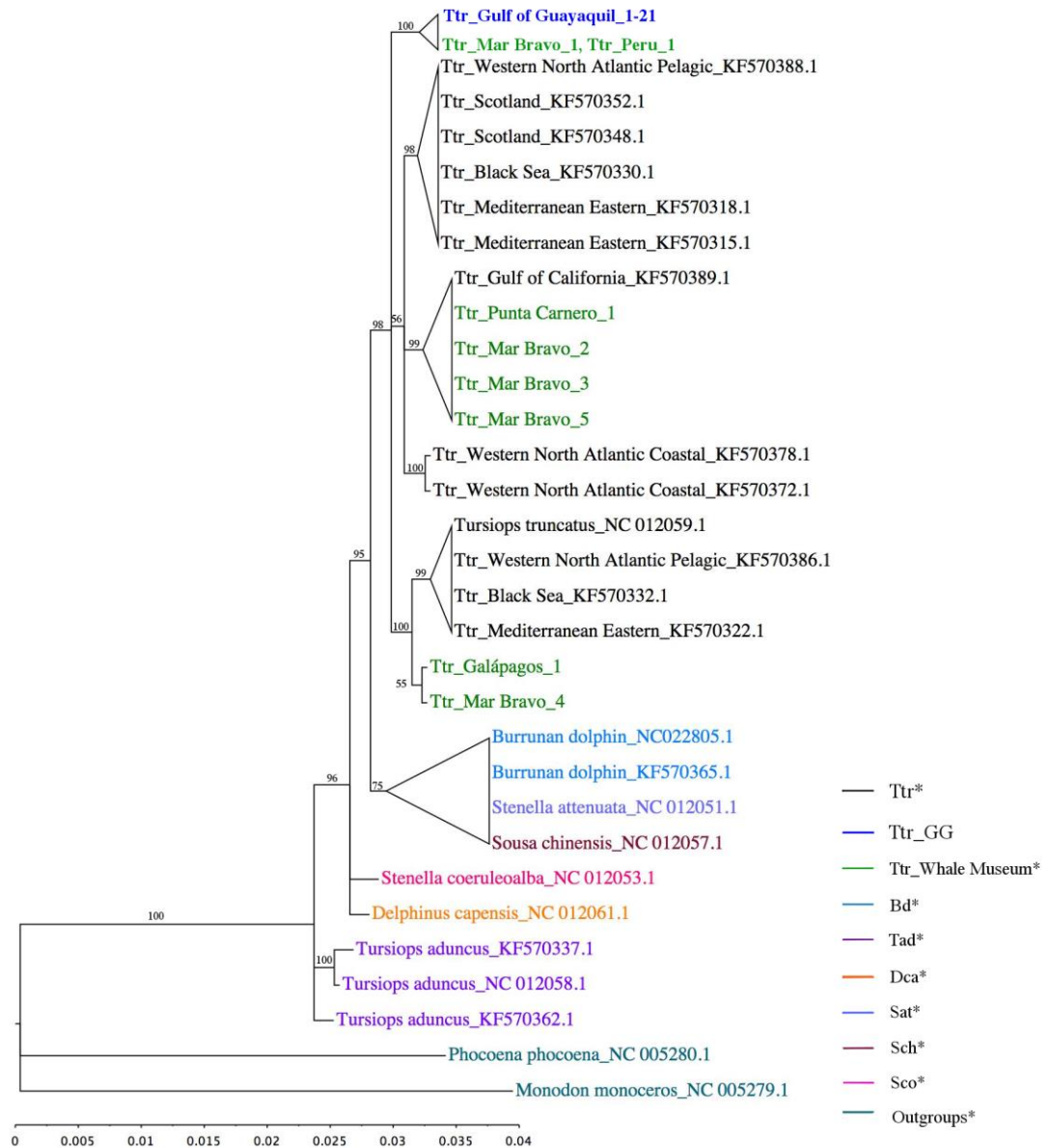
Number of samples by locality (N), number of haplotypes (H), polymorphic sites (S), haplotype diversity (Hd), nucleotide diversity ( $\pi$ ), and average number of nucleotide diversity (K).

## 10. SUPPORTING INFORMATION

### MATERIALS AND METHODS S1

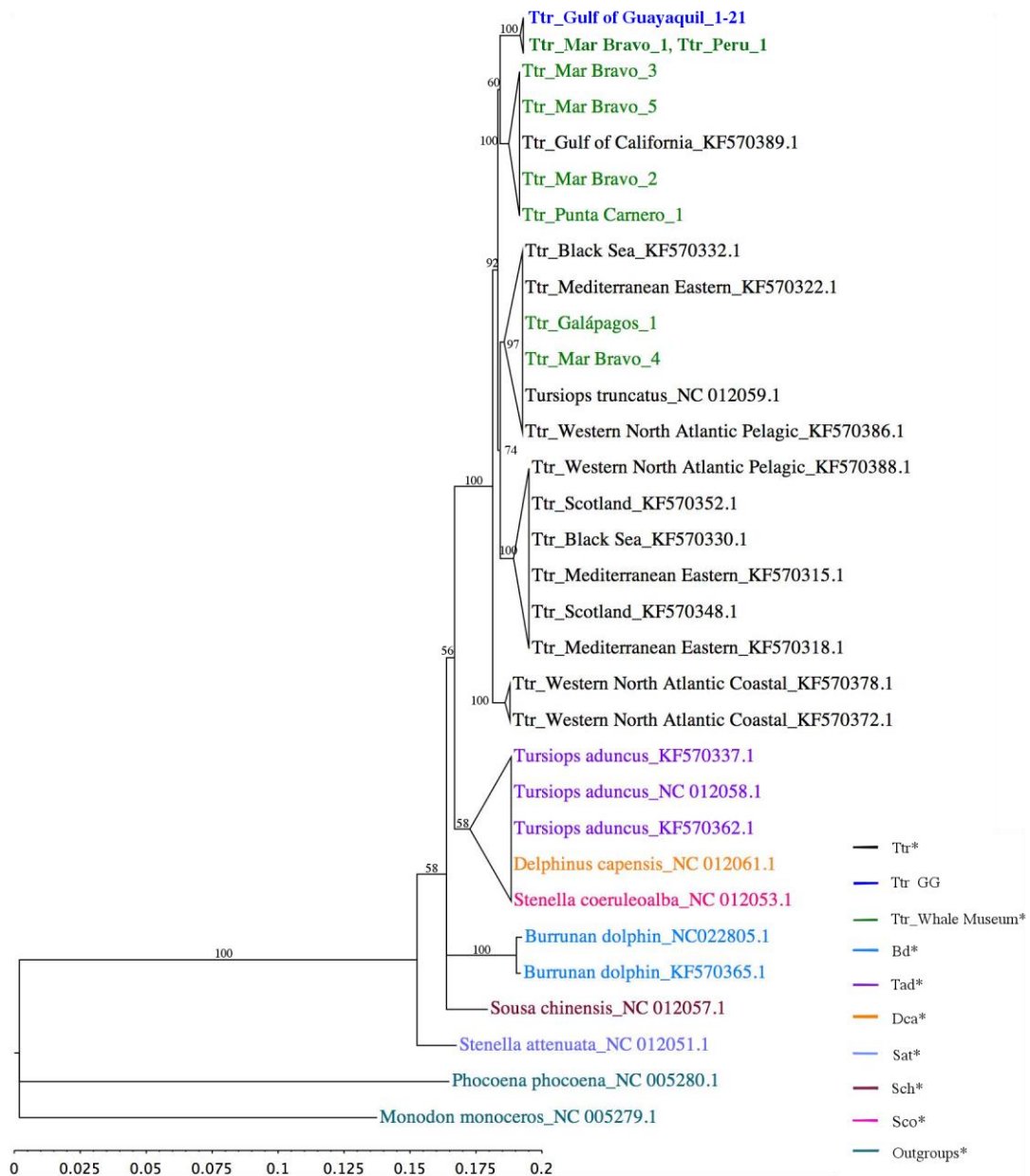
#### MOLECULAR SEX DETERMINATION

PCR amplification reactions were carried out in 20  $\mu$ l reaction mixture containing 20-30 ng of DNA, 1mM of PCR buffer, 1.5 mM  $MgCl_2$ , 0.3  $\mu$ M of each primer, 150  $\mu$ M dNTPs, and 1.0 U of Taq DNA polymerase (Promega). PCR thermo-cycling conditions consisted of an initial denaturation step at 95°C for 5 minutes, followed by 35 cycles of denaturation at 94°C for 30 seconds, annealing at 51°C 45 seconds, and extension at 72°C for 45 seconds with a final extension step at 72°C for 10 minutes. The sex was determined by the banding pattern on a 2.5 % agarose gel, stained with ethidium bromide, and visualized under ultraviolet light.



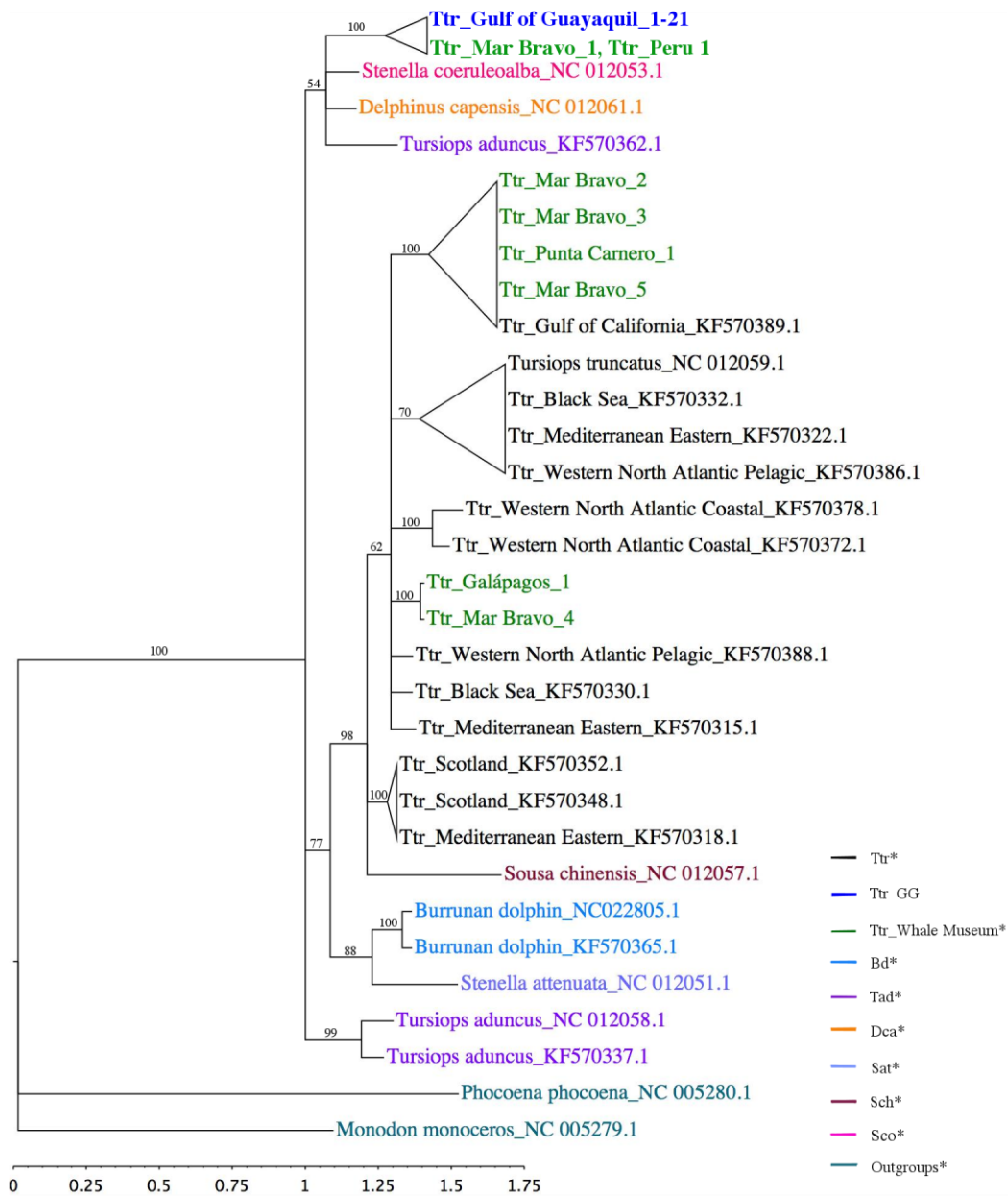
**S1 Fig. Bayesian phylogenetic tree based on the analysis of ~ 1,625 bp of the partial region 12S rRNA, tRNA-val, and a partial region of 16S rRNA.** The phylogram shows the relationship of the Bottlenose Dolphins of the inner estuary of the Gulf of Guayaquil with other haplotypes from different localities. Numbers above the main branches represent the posterior probability values as a result of the search for MCMC. The haplotypes identified in this study are termed Ttr (*Tursiops truncatus*) plus Galápagos, Mar Bravo, Gulf of Guayaquil or Peru. The sequences obtained from GenBank are labeled with their accession number. The name of haplotype are colored

according to species shown in the legend. (\*) represents the haplotypes obtained from the Whale Museum or GenBank database. Outgroups include the harbor porpoise, *Phocoena phocoena*, and the narwhal, *Monodon monoceros*. Acronyms: Ttr\_GG = *Tursiops truncatus* of Gulf of Guayaquil, Bd = Burrunan dolphin, Tad = *Tursiops aduncus*, Dca = *Delphinus capensis*, Sat = *Stenella attenuata*, Sch = *Sousa chinensis*, Sco = *Stenella coeruleoalba*.



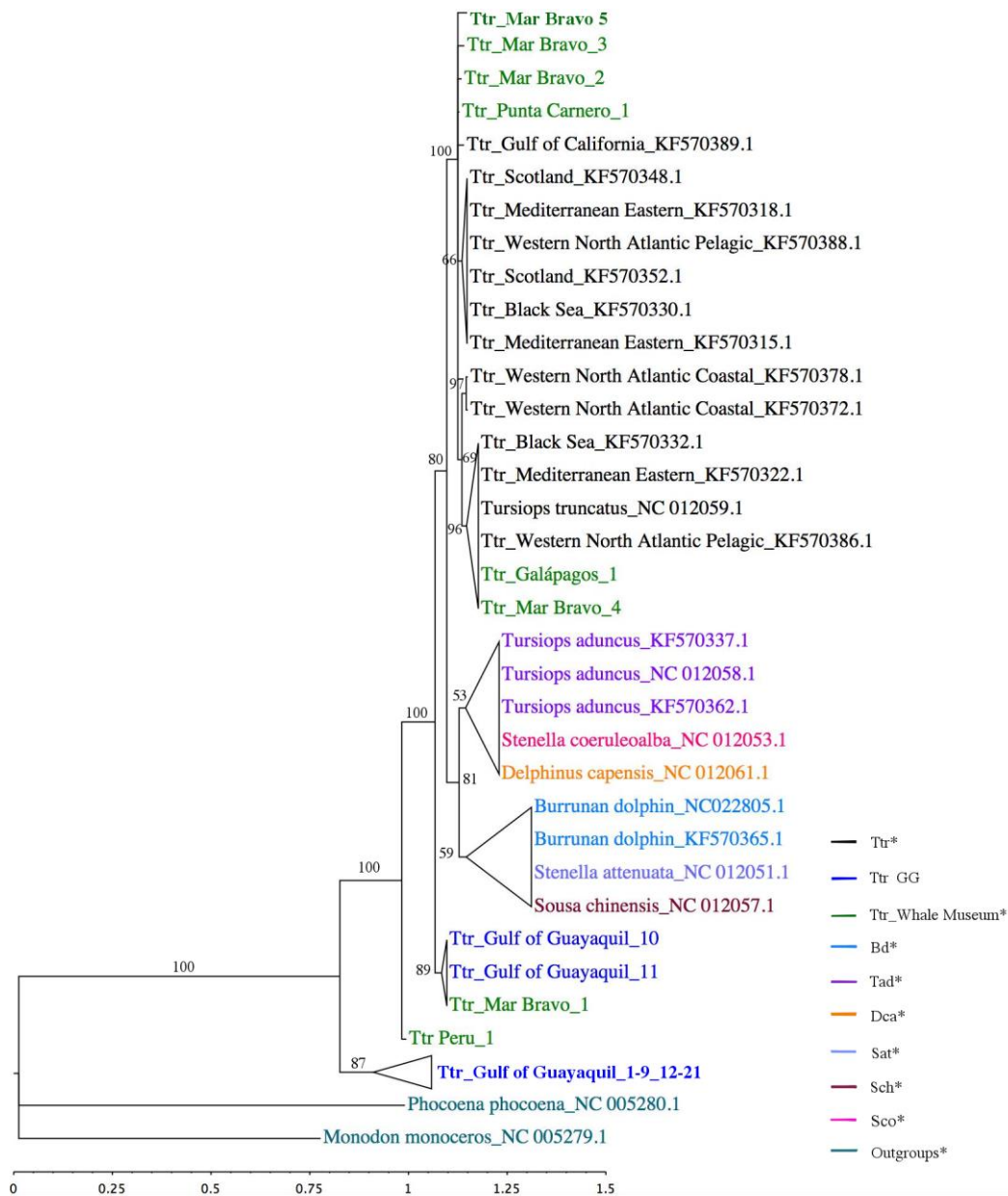
**S2 Fig. Bayesian phylogenetic tree based on the analysis of ~ 2,103 bp of the partial protein-coding genes ND1, ND2, COII, and Cyt b, and six tRNAs.** The phylogram shows the relationship of the Bottlenose Dolphins of the inner estuary of the Gulf of Guayaquil with other haplotypes from different localities. Numbers next to or above the main branches represent the posterior probability values as a result of the search for MCMC. The haplotypes identified in this study are termed Ttr (*Tursiops truncatus*) plus Galápagos, Mar Bravo, Gulf of Guayaquil or Peru. The sequences

obtained from GenBank are labeled with their accession number. The name of haplotype are colored according to species shown in the legend. (\*) represents the haplotypes obtained from the Whale Museum or GenBank database. Outgroups include the harbor porpoise, *Phocoena phocoena*, and the narwhal, *Monodon monoceros*. Acronyms: Ttr\_GG = *Tursiops truncatus* of Gulf of Guayaquil, Bd = Burrunan dolphin, Tad = *Tursiops aduncus*, Dca = *Delphinus capensis*, Sat = *Stenella attenuata*, Sch = *Sousa chinensis*, Sco = *Stenella coeruleoalba*.



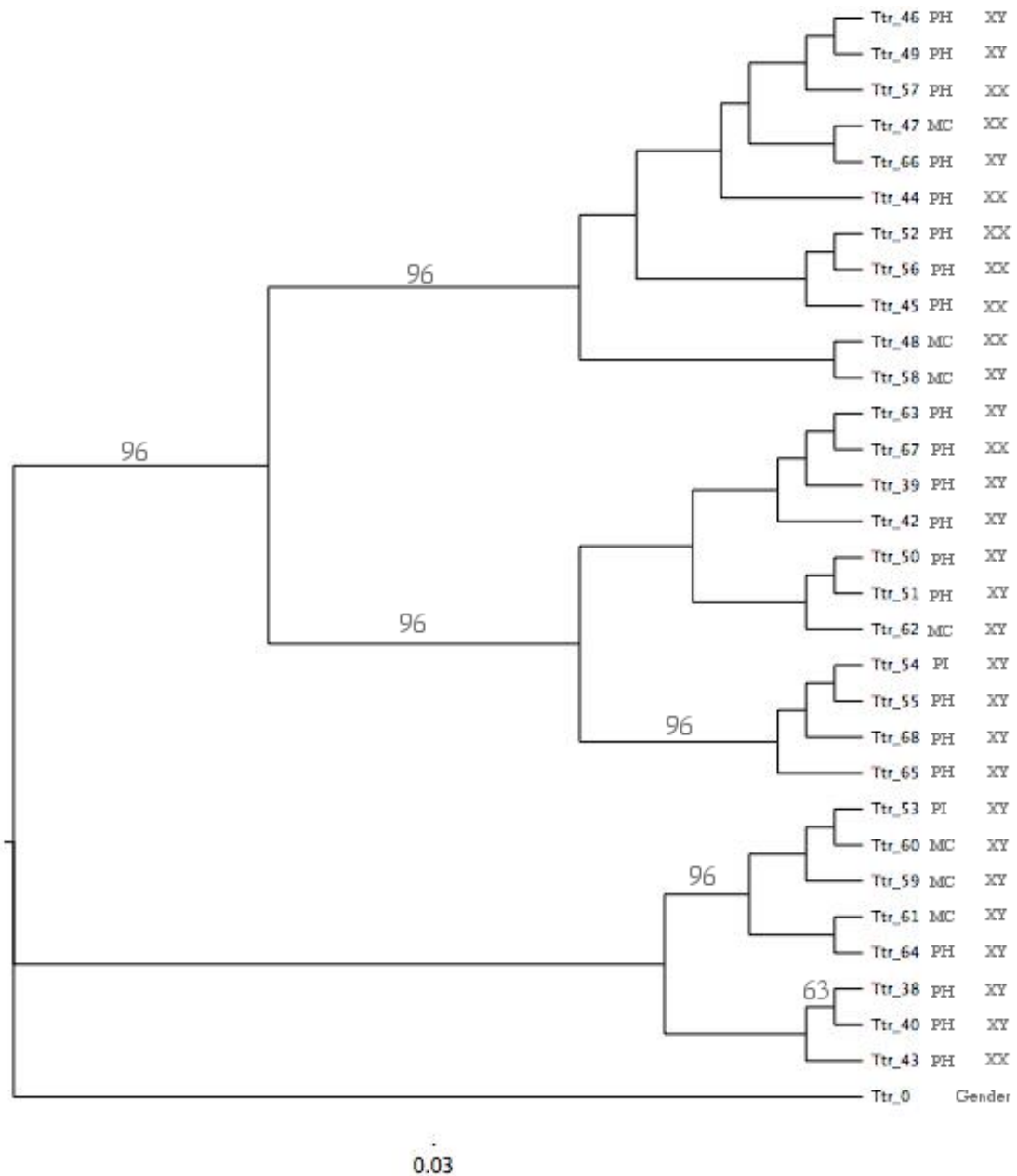
**S3 Fig. Bayesian phylogenetic tree inferred on the analysis of ~ 694 bp of the mtDNA control region.** The phylogram shows the relationship of the Bottlenose Dolphins of the inner estuary of the Gulf of Guayaquil with other haplotypes from different localities. Numbers next to or above the main branches represent the posterior probability values as a result of the search for MCMC. The haplotypes identified in this study are termed Ttr (*Tursiops truncatus*) plus Galápagos, Mar Bravo, Gulf of Guayaquil or Peru. The sequences obtained from GenBank are labeled with their

accession number. The name of haplotype are colored according to species shown in the legend. (\*) represents the haplotypes obtained from the Whale Museum or GenBank database. Outgroups include the harbor porpoise, *Phocoena phocoena*, and the narwhal, *Monodon monoceros*. Acronyms: Ttr\_GG = *Tursiops truncatus* of Gulf of Guayaquil, Bd = Burrunan dolphin, Tad = *Tursiops aduncus*, Dca = *Delphinus capensis*, Sat = *Stenella attenuata*, Sch = *Sousa chinensis*, Sco = *Stenella coeruleoalba*.



**S4 Fig. Bayesian phylogenetic tree inferred on the analysis of ~ 839 bp of mtDNA cytochrome oxidase I (COI).** The phylogram shows the relationship of the Bottlenose Dolphins of the inner estuary of the Gulf of Guayaquil with other haplotypes from different localities. Numbers next to or above the main branches represent the posterior probability values as a result of the search for MCMC. The haplotypes identified in this study are termed Ttr (*Tursiops truncatus*) plus Galápagos, Mar Bravo, Gulf of

Guayaquil or Peru. The sequences obtained from GenBank are labeled with their accession number. The name of haplotype are colored according to species shown in the legend. (\*) represents the haplotypes obtained from the Whale Museum or GenBank database. Outgroups include the harbor porpoise, *Phocoena phocoena*, and the narwhal, *Monodon monoceros*. Acronyms: Ttr\_GG = *Tursiops truncatus* of Gulf of Guayaquil, Bd = Burrunan dolphin, Tad = *Tursiops aduncus*, Dca = *Delphinus capensis*, Sat = *Stenella attenuata*, Sch = *Sousa chinensis*, Sco = *Stenella coeruleoalba*.



**S5 Fig. Neighbor Joining tree displaying the relationships among microsatellite loci of the Bottlenose Dolphin in the inner estuary of the Gulf of Guayaquil.**

Numbers above the branches are the bootstrap values acquired from 1000 replications.

Acronyms: XX = female, XY = male, MC = Morro Channel, PI = Puná Island, PH = Posorja Harbor.





**S1 Table.** Sample information.

| <b>Sampling location</b> | <b>Code</b> | <b>GPS collection position</b> | <b>Year</b> |
|--------------------------|-------------|--------------------------------|-------------|
| Galápagos Islands        | Ttr1        |                                | 2005        |
| Punta Carnero            | Ttr2        |                                | 2006        |
| Mar Bravo                | Ttr3        |                                | 2006        |
| Mar Bravo                | Ttr4        |                                | 2007        |
| Mar Bravo                | Ttr5        |                                | 2007        |
| Mar Bravo                | Ttr6        |                                | 2008        |
| Mar Bravo                | Ttr7        |                                | 2009        |
| Mar Bravo                | Ttr8        |                                | 2010        |
| Isla Puná                | Ttr9        |                                | 1992        |
| Isla Jambelí             | Ttr10       |                                | 1990        |
| Isla Puná                | Ttr11       |                                | 1991        |
| Isla Puná                | Ttr12       |                                | 1994        |
| Unknown                  | Ttr13       |                                | 1995        |
| Unknown                  | Ttr14       |                                | 1994        |
| Isla Puná                | Ttr15       |                                | 1991        |
| Isla Puná                | Ttr16       |                                | 1993        |
| Playas                   | Ttr17       |                                | 1996        |
| Punta Carnero            | Ttr18       |                                | 2005        |
| Isla Puná                | Ttr19       |                                | 1994        |
| Isla Puná                | Ttr20       |                                | 1991        |
| Isla Puná                | Ttr21       |                                | 1991        |
| Unknown                  | Ttr22       |                                | 1993        |
| Isla Puná                | Ttr23       |                                | 1991        |
| Unknown                  | Ttr24       |                                | 1992        |
| Isla Puná                | Ttr25       |                                | 1993        |
| Unknown                  | Ttr26       |                                | 1995        |
| Galápagos Islands        | Ttr27       |                                | 2001        |
| Unknown                  | Ttr28       |                                | 1992        |
| Unknown                  | Ttr29       |                                | 1994        |
| Unknown                  | Ttr30       |                                | 1992        |
| Isla Puná                | Ttr31       |                                | 1992        |
| Mar Bravo                | Ttr32       |                                | 1995        |
| Isla Jambelí             | Ttr33       |                                | 1990        |
| Mar Bravo                | Ttr34       |                                | 1997        |
| Mar Bravo                | Ttr35       |                                | 1995        |
| Mar Bravo                | Ttr36       |                                | 1993        |
| Mar Bravo                | Ttr37       |                                | 2009        |
| Posorja Harbor           | Ttr38       | P1: S2.73330 W80.22536         | 2013        |
| Posorja Harbor           | Ttr39       | P1: S2.73330 W80.22536         | 2013        |
| Posorja Harbor           | Ttr40       | P1: S2.73330 W80.22536         | 2013        |
| Posorja Harbor           | Ttr41       | P1: S2.73330 W80.22536         | 2013        |
| Posorja Harbor           | Ttr42       | P1: S2.73330 W80.22536         | 2013        |
| Posorja Harbor           | Ttr43       | P1: S2.73330 W80.22536         | 2013        |
| Posorja Harbor           | Ttr44       | P2: S2.73330 W80.22536         | 2013        |
| Posorja Harbor           | Ttr45       | P2: S2.73330 W80.22536         | 2013        |

S1 Table. Continued...

| <b>Sampling location</b> | <b>Code</b> | <b>GPS collection position</b> | <b>Year</b> |
|--------------------------|-------------|--------------------------------|-------------|
| Posorja Harbor           | Ttr46       | P2: S2.73330 W80.22536         | 2013        |
| Morro Channel            | Ttr47       | P3: S2.62933 W80.21539         | 2013        |
| Morro Channel            | Ttr48       | P3: S2.62933 W80.21539         | 2013        |
| Posorja Harbor           | Ttr49       | P4: S2.71116 W80.23975         | 2013        |
| Posorja Harbor           | Ttr50       | P4: S2.71116 W80.23975         | 2013        |
| Posorja Harbor           | Ttr51       | P4: S2.71116 W80.23975         | 2013        |
| Posorja Harbor           | Ttr52       | P4: S2.71116 W80.23975         | 2013        |
| Isla Puná                | Ttr53       | P5: S2.895870 W80.109010       | 2013        |
| Isla Puná                | Ttr54       | P5: S2.895870 W80.109010       | 2013        |
| Posorja Harbor           | Ttr55       | P6: S2.71543 W80.8023821       | 2013        |
| Posorja Harbor           | Ttr56       | P6: S2.71543 W80.8023821       | 2013        |
| Posorja Harbor           | Ttr57       | P6: S2.71543 W80.8023821       | 2013        |
| Morro Channel            | Ttr58       | P7: S2.64513 W80.25678         | 2013        |
| Morro Channel            | Ttr59       | P7: S2.64513 W80.25678         | 2013        |
| Morro Channel            | Ttr60       | P7: S2.64513 W80.25678         | 2013        |
| Morro Channel            | Ttr61       | P7: S2.64513 W80.25678         | 2013        |
| Morro Channel            | Ttr62       | P7: S2.64513 W80.25678         | 2013        |
| Posorja Harbor           | Ttr63       | P8: S2.70135 W80.24386         | 2013        |
| Posorja Harbor           | Ttr64       | P8: S2.70135 W80.24386         | 2013        |
| Posorja Harbor           | Ttr65       | P8: S2.70135 W80.24386         | 2013        |
| Posorja Harbor           | Ttr66       | P8: S2.70135 W80.24386         | 2013        |
| Posorja Harbor           | Ttr67       | P8: S2.70135 W80.24386         | 2013        |
| Posorja Harbor           | Ttr68       | P8: S2.70135 W80.24386         | 2013        |
| Santa Rosa-Perú          | TtrP        |                                | 2013        |

**S2 Table.** Accession numbers belonging to mitogenome sequences obtained from the GenBank database for the phylogenetic analysis.

| <b>Species</b>               | <b>Locality</b>                | <b>Accession number</b> | <b>Reference</b>     |
|------------------------------|--------------------------------|-------------------------|----------------------|
| <i>Tursiops truncatus</i>    | Gulf of California             | KF570389.1              | Moura et al., 2013   |
| <i>Tursiops truncatus</i>    | Western North Atlantic Pelagic | KF570388.1              | Moura et al., 2013   |
| <i>Tursiops truncatus</i>    | Western North Atlantic Pelagic | KF570386.1              | Moura et al., 2013   |
| <i>Tursiops truncatus</i>    | Western North Atlantic Coastal | KF570378.1              | Moura et al., 2013   |
| <i>Tursiops truncatus</i>    | Western North Atlantic Coastal | KF570372.1              | Moura et al., 2013   |
| <i>Tursiops truncatus</i>    | Scotland                       | KF570352.1              | Moura et al., 2013   |
| <i>Tursiops truncatus</i>    | Scotland                       | KF570348.1              | Moura et al., 2013   |
| <i>Tursiops truncatus</i>    | Black Sea                      | KF570332.1              | Moura et al., 2013   |
| <i>Tursiops truncatus</i>    | Black Sea                      | KF570330.1              | Moura et al., 2013   |
| <i>Tursiops truncatus</i>    | Mediterranean Eastern          | KF570322.1              | Moura et al., 2013   |
| <i>Tursiops truncatus</i>    | Mediterranean Eastern          | KF570318.1              | Moura et al., 2013   |
| <i>Tursiops truncatus</i>    | Mediterranean Eastern          | KF570315.1              | Moura et al., 2013   |
| <i>Tursiops truncatus</i>    | Sequence reference             | NC_012059.1             | Xiong et al. 2009    |
| <i>Tursiops aduncus</i>      | South Africa                   | KF570362.1              | Moura et al., 2013   |
| <i>Tursiops aduncus</i>      | Australia Southeast            | KF570337.1              | Moura et al., 2013   |
| <i>Tursiops aduncus</i>      | Sequence reference             | NC_012058.1             | Xiong et al. 2009    |
| <i>Tursiops australis</i>    | Australia South                | NC_022805.1             | Moura et al., 2013   |
| <i>Tursiops australis</i>    | Australia South                | KF570365.1              | Moura et al., 2013   |
| <i>Delphinus capensis</i>    | Sequence reference             | NC_012061.1             | Xiong et al. 2009    |
| <i>Stenella attenuata</i>    | Sequence reference             | NC_012051.1             | Xiong et al. 2009    |
| <i>Sousa chinensis</i>       | Sequence reference             | NC_012057.1             | Xiong et al. 2009    |
| <i>Stenella coeruleoalba</i> | Sequence reference             | NC_012053.1             | Xiong et al. 2009    |
| <i>Phocoena phocoena</i>     | Sequence reference             | NC_005280.1             | Arnason et al., 2004 |
| <i>Monodon monoderos</i>     | Sequence reference             | NC_005279.1             | Arnason et al., 2004 |

**S3 Table.** Accession numbers belonging to the mitochondrial CR of *Tursiops truncatus* obtained from the GenBank database for the phylogeographic analysis.

| Haplotype | Locality                       | Accession number | Reference          |
|-----------|--------------------------------|------------------|--------------------|
| Hap_1     | Gulf of California             | KF570389.1       | Moura et al., 2013 |
| Hap_2     | Western North Atlantic Pelagic | KF570388.1       | Moura et al., 2013 |
| Hap_3     | Western North Atlantic Pelagic | KF570384.1       | Moura et al., 2013 |
| Hap_4     | Western North Atlantic Pelagic | KF570381.1       | Moura et al., 2013 |
| Hap_5     | Western North Atlantic Coastal | KF570374.1       | Moura et al., 2013 |
| Hap_6     | Western North Atlantic Coastal | KF570372.1       | Moura et al., 2013 |
| Hap_7     | Western North Atlantic Coastal | KF570371.1       | Moura et al., 2013 |
| Hap_8     | Western North Atlantic Coastal | KF570370.1       | Moura et al., 2013 |
| Hap_9     | Scotland                       | KF570352.1       | Moura et al., 2013 |
| Hap_10    | Scotland                       | KF570351.1       | Moura et al., 2013 |
| Hap_11    | Scotland                       | KF570350.1       | Moura et al., 2013 |
| Hap_12    | Black Sea                      | KF570334.1       | Moura et al., 2013 |
| Hap_13    | Black Sea                      | KF570333.1       | Moura et al., 2013 |
| Hap_14    | Black Sea                      | KF570329.1       | Moura et al., 2013 |
| Hap_15    | Black Sea                      | KF570328.1       | Moura et al., 2013 |
| Hap_16    | Mediterranean Eastern          | KF570323.1       | Moura et al., 2013 |
| Hap_17    | Mediterranean Eastern          | KF570321.1       | Moura et al., 2013 |
| Hap_18    | Mediterranean Eastern          | KF570320.1       | Moura et al., 2013 |
| Hap_19    | Mediterranean Eastern          | KF570319.1       | Moura et al., 2013 |
| Hap_20    | Mediterranean Eastern          | KF570318.1       | Moura et al., 2013 |
| Hap_21    | CICIMAR_Ttr36                  | DQ105733.1       | Segura, 2006       |
| Hap_22    | CICESE_UPO3                    | DQ105731.1       | Segura, 2006       |
| Hap_23    | CICIMAR_Tt005                  | DQ105730.1       | Segura, 2006       |
| Hap_24    | CICIMAR_Tt14                   | DQ105725.1       | Segura, 2006       |
| Hap_25    | CICIMAR_Tt04                   | DQ105723.1       | Segura, 2006       |
| Hap_26    | CICESE_S02                     | DQ105722.1       | Segura, 2006       |
| Hap_27    | CICIMAR_Tt003                  | DQ105719.1       | Segura, 2006       |
| Hap_28    | CICESE_BTS02                   | DQ105717.1       | Segura, 2006       |
| Hap_29    | SWFSC_z13426                   | DQ105714.1       | Segura, 2006       |
| Hap_30    | SWFSC_z4675                    | DQ105710.1       | Segura, 2006       |
| Hap_31    | SWFSC_z4668                    | DQ105705.1       | Segura, 2006       |
| Hap_32    | SWFSC_z13430                   | DQ105704.1       | Segura, 2006       |
| Hap_33    | SWFSC_z13455                   | DQ105703.1       | Segura, 2006       |
| Hap_34    | China                          | AB303174.1       | Kita et al., 2008  |
| Hap_35    | China                          | AB303173.1       | Kita et al., 2008  |
| Hap_36    | China                          | AB303170.1       | Kita et al., 2008  |
| Hap_37    | China                          | AB303167.1       | Kita et al., 2008  |
| Hap_38    | China                          | AB303166.1       | Kita et al., 2008  |
| Hap_39    | China                          | AB303164.1       | Kita et al., 2008  |
| Hap_40    | China                          | AB303163.1       | Kita et al., 2008  |
| Hap_41    | China                          | AB303162.1       | Kita et al., 2008  |
| Hap_42    | China                          | AB303157.1       | Kita et al., 2008  |
| Hap_43    | China                          | AB303156.1       | Kita et al., 2008  |

**S3 Table.** Continued...

| <b>Haplotype</b> | <b>Locality</b>     | <b>Accession number</b> | <b>Reference</b>      |
|------------------|---------------------|-------------------------|-----------------------|
| Hap_44           | Gulf of California  | HE617288.1              | Segura et al., 2012   |
| Hap_45           | Gulf of California  | HE617287.1              | Segura et al., 2012   |
| Hap_46           | Gulf of California  | HE617286.1              | Segura et al., 2012   |
| Hap_47           | Gulf of California  | HE617284.1              | Segura et al., 2012   |
| Hap_48           | Gulf of California  | HE617276.1              | Segura et al., 2012   |
| Hap_49           | Gulf of California  | HE617275.1              | Segura et al., 2012   |
| Hap_50           | Gulf of California  | HE617269.1              | Segura et al., 2012   |
| Hap_51           | Gulf of California  | HE617268.1              | Segura et al., 2012   |
| Hap_52           | Gulf of California  | HE617259.1              | Segura et al., 2012   |
| Hap_53           | SWFSC:z62354        | HQ206697.1              | Perrin et al., 2011   |
| Hap_54           | SWFSC:z67823        | HQ206691.1              | Perrin et al., 2011   |
| Hap_55           | SWFSC:z26316        | HQ206685.1              | Perrin et al., 2011   |
| Hap_56           | SWFSC:z44082        | HQ206684.1              | Perrin et al., 2011   |
| Hap_57           | SWFSC:z74964        | HQ206675.1              | Perrin et al., 2011   |
| Hap_58           | LACM:84059          | HQ206673.1              | Perrin et al., 2011   |
| Hap_59           | Madeira             | DQ525384.1              | Querouil et al., 2009 |
| Hap_60           | Madeira             | DQ525380.1              | Querouil et al., 2009 |
| Hap_61           | Madeira             | DQ525370.1              | Querouil et al., 2009 |
| Hap_62           | Madeira             | DQ525364.1              | Querouil et al., 2009 |
| Hap_63           | Azores              | DQ525361.1              | Querouil et al., 2009 |
| Hap_64           | Azores              | DQ525358.1              | Querouil et al., 2009 |
| Hap_65           | Portugal            | DQ073728.1              | Querouil et al., 2009 |
| Hap_66           | Azores              | DQ073714.1              | Querouil et al., 2009 |
| Hap_67           | Azores              | DQ073711.1              | Querouil et al., 2009 |
| Hap_68           | Azores              | DQ073710.1              | Querouil et al., 2009 |
| Hap_69           | Azores              | DQ073709.1              | Querouil et al., 2009 |
| Hap_70           | Azores              | DQ073707.1              | Querouil et al., 2009 |
| Hap_71           | Azores              | DQ073705.1              | Querouil et al., 2009 |
| Hap_72           | Azores              | DQ073702.1              | Querouil et al., 2009 |
| Hap_73           | Azores              | DQ073701.1              | Querouil et al., 2009 |
| Hap_74           | China               | AF459523.1              | Ji et al., 2002       |
| Hap_75           | China               | AF459522.1              | Ji et al., 202        |
| Hap_76           | East North Atlantic | AY963626.1              | Natoli et al., 2004   |
| Hap_77           | East North Atlantic | AY963619.1              | Natoli et al., 2004   |
| Hap_78           | West Mediterranean  | AY963615.1              | Natoli et al., 2004   |
| Hap_79           | West Mediterranean  | AY963608.1              | Natoli et al., 2004   |
| Hap_80           | West Mediterranean  | AY963605.1              | Natoli et al., 2004   |
| Hap_81           | East Mediterranean  | AY963601.1              | Natoli et al., 2004   |
| Hap_82           | East Mediterranean  | AY963598.1              | Natoli et al., 2004   |
| Hap_83           | East Mediterranean  | AY963596.1              | Natoli et al., 2004   |
| Hap_84           | East Mediterranean  | AY963595.1              | Natoli et al., 2004   |
| Hap_85           | East Mediterranean  | AY963594.1              | Natoli et al., 2004   |
| Hap_86           | Black sea           | AY963593.1              | Natoli et al., 2004   |
| Hap_87           | Black sea           | AY963589.1              | Natoli et al., 2004   |
| Hap_88           | Hawaii Island       | EF672724.1              | Martien et al., 2011  |

**S3 Table.** Continued...

| <b>Haplotype</b> | <b>Locality</b> | <b>Accession number</b> | <b>Reference</b>     |
|------------------|-----------------|-------------------------|----------------------|
| Hap_89           | Hawaiiin Island | EF672713.1              | Martien et al., 2011 |
| Hap_90           | Hawaiiin Island | EF672704.1              | Martien et al., 2011 |
| Hap_91           | Hawaiiin Island | EF672703.1              | Martien et al., 2011 |

**S4 Table.** Primers for mitochondrial DNA used in the present study.

| Primer        | Sequence                                     | Size pb | Mitochondrial region | Ta °C | MgCl <sub>2</sub> mM | Reference             |
|---------------|----------------------------------------------|---------|----------------------|-------|----------------------|-----------------------|
| mt12F-12S RNA | 5'-TTA CAC ATG CAA GCA TCC GC-3'             | ~ 1062  | 12S rRNA-16S rRNA    | 55    | 1.5                  | Cunha et al., 2011    |
| mt12R-12S RNA | 5'-GGT ACT CTC TCT ATA GCG CC-3'             |         |                      |       |                      |                       |
| 16SarL        | 5'-CGC CTG TTT ATC AAA AAC AT-3'             | ~ 590   | 16S rRNA             | 55    | 1.5                  | Palumbi et al., 1991  |
| 16SbrH        | 5'-CCG GTC TGA ACT CAG ATC ACG T -3'         |         |                      |       |                      |                       |
| ND1F          | 5'-TCA GAA CTC GTA TCT GGC-3'                | ~ 956   | NADH1-NDH2           | 51    | 1.5                  | Xiong et al., 2009    |
| ND1R          | 5'-ATT AGT CCT GTG CTT AGG G-3'              |         |                      |       |                      |                       |
| COX1F         | 5'-TGC CTA CTC GGC CAT TTT AC-3'             | ~ 756   | COI                  | 52    | 1.5                  | Amaral et al., 2007   |
| COX1R         | 5'-TGA AAC CCA GGA AGC CAA TA-3'             |         |                      |       |                      |                       |
| CO2LCet       | 5'-TAA ART CTT ACA TAA CTT TGT C-3'          | ~ 684   | COII                 | 50    | 2.0                  | McGowen et al., 2008  |
| CO2RCet       | 5'-TCT CAA TCT TTA ACT TAA AAG G-3'          |         |                      |       |                      |                       |
| L14724        | 5' -TGA CTT GAA RAA CCA YCG TTG- 3'          | ~ 465   | Cytochrome b         | 48    | 1.5                  | Palumbi et al., 1991  |
| H15149        | 5' -CAG AAT GAT ATT TGT CCT CA -3'           |         |                      |       |                      | Kocher et al. 1989    |
| dLp1.5t-pro   | 5'-TCA CCC AAA GCT GRA RTT CTA-3'            | ~ 800   | D-loop               | 55    | 1.5                  | Dalebout et al., 1998 |
| dLp8G         | 5'-GGA GTA CTA TGT CCT GTA ACC A-3'          |         |                      |       |                      | Lento et al., 1997    |
| dlp5          | 5'- CCA TCG WGA TGT CTT ATT TAA GRG GAA - 3' |         |                      |       |                      | Dalebout et al., 1998 |
| dlp4          | 5'-CGG GTT GCT GGT TTC ACG-3'                |         |                      |       |                      | Pichler et al., 2000  |

PCR amplification reactions were carried out in 25 µl reaction mixture containing 20-30 ng of DNA, 1mM of PCR buffer, 1.5–2.0 mM MgCl<sub>2</sub> (specific to each primer), 0.4 µM of each primer, 200 µM dNTPs, and 1.0 U of Taq DNA polymerase (Promega). PCR thermo-cycling conditions consisted of 94°C for 2 minutes, followed by 35 cycles of 94°C for 30 seconds, annealing temperature (specific to each primer) for 60 seconds, and 72°C for 60 seconds with a final extension step at 72°C for 10 minutes. The PCR products were electrophoresed on a 1-1.5 % agarose gel contained ethidium bromide, and visualized under ultraviolet light.

**S5 Table.** Microsatellite DNA loci and additional information used in the present study.

| Locus           | Sequence                        | Size    | Repeat motif                            | Ta (°C) | MgCl <sub>2</sub> | Reference              |
|-----------------|---------------------------------|---------|-----------------------------------------|---------|-------------------|------------------------|
| <b>EV37F</b>    | 5'-AGCTTGATTTGGAAGTCATGA-3'     | 178-224 | (AC) <sub>26</sub>                      | 55°C    | 1.0 mM            | Valsecchi y Amos, 1996 |
| <b>EV37R</b>    | 5'-TAGTAGAGCCGTGATAAAGTGC-3'    |         |                                         |         |                   |                        |
| <b>D8F</b>      | 5'-GATCCATCATATTGTCAAGTT-3'     | 103     | (TG) <sub>18</sub>                      | 57°C    | 2.0 mM            | Shinohara et al., 1997 |
| <b>D8R</b>      | 5'-TCCTGGGTGATGAGTCTTC-3'       |         |                                         |         |                   |                        |
| <b>D22F</b>     | 5'-GGAAATGCTCTGAGAAGGTC-3'      | 135     | (CA) <sub>3</sub> TA-(CA) <sub>21</sub> | 56°C    | 2.0 mM            | Shinohara et al., 1997 |
| <b>D22R</b>     | 5'-CCAGAGCACCTATGTGGAC-3'       |         |                                         |         |                   |                        |
| <b>TexVet5F</b> | 5'-GATTGTGCAAATGGAGACA-3'       | 236-260 | (CA) <sub>24</sub>                      | 51°C    | 1.5 mM            | Rooney et al., 1999    |
| <b>TexVet5R</b> | 5'-TTGAGATGACTCCTGTGGG-3'       |         |                                         |         |                   |                        |
| <b>TexVet7F</b> | 5'-TGCACTGTAGGGTGTTTCAGCAG-3'   | 155-163 | (CA) <sub>12</sub>                      | 57°C    | 2.0 mM            | Rooney et al., 1999    |
| <b>TexVet7R</b> | 5'-CTTAATTGGGGGCGATTCAC-3'      |         |                                         |         |                   |                        |
| <b>Ttr11F</b>   | 5'-CTTCAACCTGGCCTTTCTG-3'       | 193-223 | (CA) <sub>21</sub>                      | 60°C    | 1.0 mM            | Rosel et al., 2005     |
| <b>Ttr11R</b>   | 5'-GTTTGGCCACTACAAGGGAGTGAA-3'  |         |                                         |         |                   |                        |
| <b>TtrRC12F</b> | 5'-GAAAAATGCTTCATGCAAC-3'       | 125-141 | (TA) <sub>19</sub>                      | 52°C    | 1.0 mM            | Rosel et al., 2005     |
| <b>TtrRC12R</b> | 5'-GTTTCATGATGGCAAATGATAC-3'    |         |                                         |         |                   |                        |
| <b>MK6F</b>     | 5'-GTCCTCTTCCAGGTGTAGCC-3'      | 145-189 | (GT) <sub>17</sub>                      | 52°C    | 2.0 mM            | Krützen et al., 2001   |
| <b>MK6R</b>     | 5'-GCCCACTAAGTATGTTGCAGC-3'     |         |                                         |         |                   |                        |
| <b>MK9F</b>     | 5'-CATAACAAAGTGGGATGACTCC-3'    | 168-180 | (CA) <sub>17</sub>                      | 55°C    | 1.5 mM            | Krützen et al., 2001   |
| <b>MK9R</b>     | 5'-TTATCCTGTTGGCTGCAGTG-3'      |         |                                         |         |                   |                        |
| <b>Tur4_91F</b> | 5'-GTTGGCTCTCCAGCTCTCAGGT-3'    | 207-235 | (GATA) <sub>14</sub>                    | 60°C    | 2.0 mM            | Nater et al., 2009     |
| <b>Tur4_91R</b> | 5'-CAGTGGCTCCCATCTGTATTAGTCA-3' |         |                                         |         |                   |                        |

PCR amplification reactions were carried out in 20 µl reaction mixture containing 10-15 ng of DNA, 1mM of PCR buffer, 1.0 -2.0 mM MgCl<sub>2</sub> (specific to each primer), 0.3 µM of each primer, 200 µM dNTPs, and 1.0 U of Taq DNA polymerase (Promega). PCR thermo-cycling conditions consisted of 94°C for 2 minutes, followed by 35 cycles at 94°C for 40 seconds, 52°C - 60°C for 60 seconds, and 72°C for 60 seconds with a final extension step at 72°C for 10 minutes. The PCR products were electrophoresed on a 2.0 % agarose gel contained ethidium bromide, and visualized under ultraviolet light.

## DECLARACIÓN Y AUTORIZACIÓN

Yo, Rosa de los Ángeles Bayas Rea, C.I. 0201588548, autora del trabajo de graduación intitulado: “Population Structure and Conservation Status of the Bottlenose Dolphin Population in the Gulf of Guayaquil, Ecuador”, previa a la obtención del grado académico de MAGÍSTER DE BIOLOGÍA DE LA CONSERVACIÓN en la Facultad de Ciencias Exactas y Naturales:

1. Declaro tener pleno conocimiento de la obligación que tiene la Pontificia Universidad Católica del Ecuador, de conformidad con el artículo 144 de la Ley Orgánica de Educación Superior, de entregar a la SENESCYT en formato digital una copia del referido trabajo de graduación para que sea integrado al Sistema Nacional de Información de Educación Superior del Ecuador para su difusión pública respetando los derechos del autor.
2. Autorizo a la Pontificia Universidad Católica del Ecuador a difundir a través del sitio web de la Biblioteca de la PUCE el referido trabajo de graduación, respetando las políticas de propiedad intelectual de la Universidad.

Quito, 13 de octubre de 2015

Srta. Rosa de los Ángeles Bayas Rea  
C.I. 0201588548