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Diversidad de Semillas Dispersadas por Aves del Interior del  
Bosque Tropical Amazónico de Yasuní

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Certifico que la Disertación de Licenciatura en Ciencias Biológicas del Sr. Juan Francisco Herrera Cueva ha sido concluida de conformidad con las normas establecidas; por lo tanto, puede ser presentada para la calificación correspondiente.

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Director de la Disertación

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**A mi familia: Rebeca, César, Camilo, Carla y Edu**

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## 1 RESUMEN

La ornitozoocoria juega un papel fundamental en la estructura y funcionamiento de los bosques tropicales megadiversos. Este es el caso de los bosques amazónicos del Parque Nacional Yasuní, donde conviven en promedio 670 especies de árboles y arbustos por hectárea y se han registrado más de 200 especies de aves frugívoras dentro del parque, donde la dispersión de semillas es crucial. El presente estudio analizó la diversidad de semillas dispersadas por aves frugívoras que habitan el interior del bosque de *terra firme* entre hábitats (valles y colinas) y entre estaciones (de mayor y menor precipitación). Se encontró que cada ave puede dispersar en promedio  $1,41 \pm SD 0,85$  especies de semillas por deposición. Los patrones de dispersión en valle o colina variaron con la estacionalidad. En la colina, durante la estación menos lluviosa, se encontró 115 % más especies de aves frugívoras que en el valle, mientras la estación lluviosa fue 30 % menos diversa en aves frugívoras. Igualmente, las semillas dispersadas diversificaron en la estación menos lluviosa fueron 2,2 veces más diversas que durante la estación de lluvias, donde se dio la dominancia de la planta *Clidemia dimorfica*. Las aves de la familia Pipridae dispersaron el 81 % de las especies de semillas registradas, siendo dominante *Lepidothrix coronata*. También, las bayas de Melastomataceae y Araceae fueron la dieta más frecuente (74 %). La repartición de nichos dietarios entre aves frugívoras evidencia una red compleja de interacciones que facilita la coexistencia de cientos de aves y especies de plantas en áreas relativamente pequeñas.

**PALABRAS CLAVE:** Dinámica de bosque, Dispersión de semillas, Diversidad Beta, Endozoocoria, Estacionalidad, Frugívoro, Ornitocoria,

## 2 ABSTRACT

Ornithochory plays a key role in the structure and functionality of megadiverse tropical forests. In the Ecuadorian Amazonian tropical forest, in Yasuní National Park, where 670 tree and bush species coexist per hectare alongside more than 200 species of frugivorous birds, seed dispersion by birds is crucial to the ecosystem. This study analyzed the diversity of seeds dispersed by frugivorous birds from the understory of *terra firme* forest between habitats (bottomlands and ridges) and seasons (rainy and less rainy). We found that birds dispersed on average  $1.41 \pm \text{SD } 0.85$  seed species per fecal sample. The dispersion patterns changed between bottomlands and ridges with seasonality. In the ridges during the less rainy season, we found 115 % more frugivorous bird species than the bottomlands, while the rainy season had 30 % less frugivore diversity. Similarly, seed species dispersed by birds diversified during the less rainy season, being 2.2 times more diverse than the rainy season, where *Clidemia dimorfica* seeds dominated. The Pipridae family were key seed dispersers with eight species which dispersed 81 % of seed species, *Lepidothrix coronata* dominated both habitats and seasons. Also, berries from Melastomataceae (with 3 genera) and Araceae (with 2 genera) were the most common source of food (74 % of the diet). The frugivorous bird dietary niche was distributed on a complex interaction web which facilitates the coexistence of hundreds of plants and birds in a relatively small area.

**KEYWORDS:** Beta Diversity, Endozoochory, Forest dynamic, Frugivory, Ornithochory, Seed dispersion, Seasonality.

### 3 MANUSCRITO PARA PUBLICACIÓN

#### REVISTA

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#### TÍTULO

Diversity of Seeds Dispersed by Birds of the Interior of the Amazon Rain Forest of Yasuní.

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## 1        1. INTRODUCTION

2        Megadiverse tropical forests are shaped by tangled interaction webs that constantly  
3        change with natural selection pressures, where seed dispersion through vertebrates'  
4        guts, known as endozoochory, dominates (Karubian, et al., 2012). Endozoochory, as  
5        other symbiotic relationships, is defined by genetic and habitat diversity, also  
6        demography patterns of plants and their dispersers (Gotelli, 2008). In general, seeds  
7        dispersed away from their mother tree have better chances to germinate and survive,  
8        because of a negative density dependence (Wright, 2002), where distance from  
9        conspecifics aids in avoiding diseases, allelopathy, and intraspecific competition, this  
10       idea was first postulated by Janzen (1970) and Connell (1971). Besides this,  
11       endozoochory frequently in the tropics reaches longer distances than pollination helping  
12       to reduce inbreeding within populations (Westcott & Graham, 2000).

13       In the tropics, endozoochory relates to fleshy fruit availability from woody plants  
14       and epiphytes, mainly dispersed by birds (Datta & Rawat 2008). Hence, evolution  
15       process complexes in The Amazon Rainforest are most diverse in communities  
16       where birds and plants interact with each other (Karubian, et al., 2012). In Yasuní  
17       National Park (YNP) researchers have registered the greatest diversity of tree and  
18       bush species per unit area of the world: where on average, in just one hectare of  
19       *terra firme* 644 species can be found (Valencia et al., 2004 b.). As for frugivorous  
20       birds, in YNP 222 species (36.4 % of the Ecuadorian Amazon richness) have been  
21       registered, with 26 families that consume fruits, and Thraupidae as the most diverse  
22       - with 45 different species (Freile, et al. 2018; Schulenberg, et al. 2020).

23       Frugivorous bird diversity is constantly coevolving with plant dispersion strategies,  
24       such as phenology, plant habit, and fruit type (Karubian, et al., 2012). Consequently,  
25       selection is bidirectional, being influenced by both dispersers and by the plants they

26 disperse (Almeida-Neto, et al. 2008). Fruits, as an abundant and accessible food  
27 source, have shaped a tangled interaction web of endozoochory (Martinez, 2020).

28 External factors have also shaped the niche partitioning of birds and plants through  
29 different habitat structure and seasonality, which affects plant phenology (Hamjah,  
30 2014). Habitat division and seasonality effects over seed dispersion are poorly  
31 understood in most ecosystems (Moermond & Denslow, 1985), which is also the  
32 case of Amazon rainforest, where these effects have complex patterns and the  
33 largest amount of seed dispersion interactions (Morton, 1973; Valencia et al., 2004  
34 b; Freile, et al. 2018).

35 YNP is dominated by *terra firme*, well-drained forest, that has two very  
36 distinguishable topographic habitats: ridges and wet bottomlands (Almeida-Neto et  
37 al., 2008). Previous studies have shown that there is a significant difference in flora  
38 composition, structure, and functionality between these habitats (John, et al,2007;  
39 Duque et al. 2017). Both habitats only share, at similar densities, 25.0% of plant  
40 species, where 50.0% are shared species but much more common in one habitat, and  
41 25.0% are specialist species for one habitat (Valencia et al. 2004 a). Regarding  
42 functionality, plant species have developed different strategies by habitat, for  
43 example, the average leaf area differs significantly between the two distinct habitats  
44 (Kraft et al. 2008). As for structure, these habitats differ as well, where ridges  
45 accumulate 50.0 % more biomass than bottomlands (Valencia et al. 2009). This  
46 suggests that habitat partitioning enhances high plant richness with low dominance  
47 (Wright, 2002). Interestingly, habitat partitioning can be concomitant for  
48 frugivorous birds, as found by Blake (2007), inside this forest.

49 Fruits and insects are main food sources for birds (Poulin, Lefebvre &  
50 McNeil, 1994), meaning that fructification seasons strongly shape the behaviors of  
51 birds (Ridgely & Greenfield, 2001). Furthermore, fructification can be related with  
52 precipitation regimes (Hamann, 2004) therefore also influencing bird behavior. For  
53 example, a study inside Mata Atlántica forest, in Brazil, found that more habitat  
54 humidity means more endozoochory (Almeida-Neto et al., 2008). Other factors that  
55 influence fruit production and endozoochory are soil conditions, such as water,  
56 nutrients, organic matter and microorganism availability; geology and topography;  
57 also, anthropogenic influences, including deforestation, enrichment and degradation  
58 of soil, and species introduction (Dent & Estrada-Villegas, 2021).

59 This study aimed to ask three questions (a) How does the species richness and  
60 community composition vary between (i) ridge and valley habitat, and (ii) rainy and  
61 less rainy season? Regarding on understory bird species, understory frugivorous bird  
62 species and seeds dispersed by understory bird species. (b) How does the  
63 interactions between understory frugivorous birds and seeds dispersed vary between  
64 habitats and over seasons? (c) How does the species richness and community  
65 composition of seeds dispersed by understory frugivorous bird species vary by plant  
66 habit?

67 We predict that (a) Species richness and community compositions will be different  
68 between habitats and over seasons, with large beta diversities, as found in plant  
69 composition (b) Interactions between understory frugivorous birds and seeds  
70 dispersed will be molded by habitat and weather conditions, with more humidity in  
71 habitat and season there will be more abundance of seeds dispersed. (c) Generalist  
72 birds and seeds will be predominant and present indifferently through seasons and  
73 habitats, specialists will be scarce and specific to habitat and seasons.

## 74      **2. METHODS**

### 75      **2.1 Study site**

76      The study site was located 1 km from Yasuní Scientific Station (YSS), in the northwest  
77      area of Yasuni National Park. Much of the Park is covered in mature lowland *terra*  
78      *firme* rain forest, with a canopy mostly 15-30 m tall and some emergent trees,  
79      understory is opened, but thick when light gaps appear. Most of soils are clayey and  
80      acidic, with low variation along YNP (Valencia, et al. 2004 a).

81      Within YNP, a 50-ha forest dynamics plot (0°41'0.5" S 76°23'58.9" W, southwest  
82      corner) was established in 1994 to study long term population demography  
83      (Valencia, et al. 2004 b.). The plot is composed of two main topographic habitat  
84      types (Valencia, et al. 2004 b): bottomlands (wet flats, crossed by creeks); and  
85      ridges (with steep slopes and high crests). Elevation in the plot varies between 215  
86      and 247 MAMSL. Mean annual precipitation at the site is 3047 mm (Naranjo,  
87      2014); More rain falls from May to October than December to April, but there is no  
88      month regularly with less precipitation than 100 mm (Naranjo, 2014). Mean  
89      monthly temperature is 24.6 °C and mean monthly relative humidity is 86.8 %.

### 90      **2.2 Sampling protocol**

91      We sampled in both the less rainy season (February 2021, precipitation = 97.7 mm)  
92      and the rainy season (July 2021, precipitation = 264.6 mm) (unpublished data from  
93      YSS) in the periphery of the 50-ha plot. In each season, the bird community was  
94      sampled using mist nets located in the forest understory. The nets were aligned in  
95      five lineal transects, with a combined length of 120 m: ten mist nets of 12 × 2.5 m,  
96      for a total trapping area of 300 m<sup>2</sup>. We established two transects in ridge habitat,  
97      two in bottomland habitat, and one transect that consist of half ridge and half

98 bottomland, following Valencia et al. (2004b). We geo-localized mist nets by  
99 mapping the 50-ha plot, which were open individually for 12 hours. In total, 1200  
100 net-hours were sampled, with 600 net-hours in each habitat (Ralph, 1997).

101 Bird capture care and management followed Ralph (1997). Each frugivorous or  
102 omnivorous bird captured was photographed and kept in a cloth bag, with a paper  
103 bag inside to collect feces, for a maximum of sixty minutes. If any bird showed  
104 signs of stress, we released it (Ralph, 1997). No bird died during the study. More  
105 than 50 % of target birds defecated in the net. Defecation samples were taken from  
106 paper bags or from leaf litter with entomology forceps or a razor. Samples were  
107 placed in Eppendorf tubes and labeled with the field number related to the captured  
108 bird. We registered date, hour, mist net, sex, age, and transect of each capture  
109 (Ralph, 1997). Fecal samples were frozen at -17°C.

110 In the laboratory, we separated feces into four categories: entomological remains,  
111 fruit pulp, vegetal remains (category joined to fruit pulp in posterior analyses) and  
112 seeds (Burns and Naoki, 2004). After this process, the remains were re-frozen to  
113 keep fresh for identification and safe from fungi and bacteria.

114 To identify seeds in the fecal samples, we used the local seed collections and the  
115 assistance of the parataxonomist associated with a long-term seed rain monitoring  
116 project at YSS. This project monitors dispersed seeds captured in 200 seed traps  
117 distributed throughout the 50-ha plot every 15 days, since the year 2000 (Metz et al.  
118 2010; Valencia, pers. com.). This project has data for 1600 species (or  
119 morphospecies) of tree and liana seeds. As result of this support, Unidentified seeds  
120 that were morphologically similar were grouped in morphotypes, with nomenclature  
121 of the lowest taxonomical level (family or genus level), followed by “sp” and serial

122 number started in 1 for each taxonomic group considered as different species  
123 (Martin y Barkley. 1961). Each seed species or morphospecies was photographed as  
124 a record of seeds dispersed by birds (Martin y Barkley, 1961).

125 Finally, we dehydrated fecal remains for 48 hours in a food dehydrator at 40°C.  
126 Then, we weighed each sample independently in an analytic balance with 0.0001 g  
127 precision.

### 128 **2.3 Data analysis**

129 We classified captured bird species into five dietary niche categories, based on their  
130 diet: insectivorous, frugivorous, nectarivorous, ictivorous or omnivorous, based on  
131 Freile, et al. (2018) and Ridgely & Greenfield (2001). We determined the  
132 frequencies of dietary niches in both seasons and habitats. Similarly, collected seeds  
133 were assigned a fruit type and plant habit, based on Tropicos data base (2022). The  
134 fecal remains analyses focused on bird diversity and seed diversity relationships  
135 across seasons and habitats.

136 We quantified the weight of seed and other fecal remains by bird sample to establish  
137 dietary preferences. We compared differences in the number, individual weight and  
138 total weight of seeds in the fecal samples (including fecal samples from frugivorous  
139 birds lacking seeds) using ANOVA.

140 We calculated the alpha and beta diversity of dispersed seeds, frugivorous birds, and  
141 non-frugivorous birds captured. Using INEXT online, (Chao & Hsieh, 2016,  
142 accessed 2022-01-15), we calculated rarefied estimates of alpha and beta diversity  
143 (95 % confidence interval and 100 bootstraps replications) and estimated Simpson's  
144 and Shannon's index and their effective numbers. If necessary, we interpolated the  
145 values between habitats and between seasons to match sample coverage (Chao &

146 Jost, 2012). To examine alpha diversity, we built the sample-size-based rarefaction  
147 and extrapolation sampling curve and the sample completeness curve for  
148 frugivorous birds and seeds (Chao & Hsieh, 2016). For beta diversity, we employed  
149 the Sørensen community coefficient, to establish species shared between habitats  
150 and seasons (Lilleskov, et al. 2004).

151 We identified dietary preferences and niches of the captured bird species  
152 (frugivorous vs. omnivorous and generalist vs. specialist). Using the Sørensen  
153 community coefficient we built a dietary overlap matrix for frugivorous birds. Using  
154 the R software bipartite package (Dormann, Gruber & Fründ, 2008), we built  
155 ecological dispersion webs between bird species and genus-level seed taxa. From  
156 these webs, we established nestedness (when a specialist consumes a subset of the  
157 generalist diet) and web symmetry (when specialists were dispersed just by  
158 specialists).

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### 168 3. RESULTS

#### 169 3.1 Bird diversity

170 Over the two seasons and two habitats of the study we captured a total of 329  
171 individual birds from 62 species, 56 genera and 16 families. The most common  
172 specie was *Lepidothrix coronata*, with 43 captures. The most common family was  
173 Thamnophilidae with 99 captures. Many species were rare: 22 species were captured  
174 as singletons.

175 Species richness was uniform between seasons, with 48 species captured in both.  
176 Species richness was also similar between habitats, with 46 species captured in  
177 bottomland habitat and 47 captured in ridge habitat. Across both seasons 71% of  
178 species were shared, and across habitats 67% of species were shared (Table 1). The  
179 majority of captured birds were insectivorous (52 %), followed by frugivorous  
180 species (32 %; Figure S1). In the bottomland habitat, 16 % more individuals were  
181 capture than in ridge habitat, largely driven by omnivorous and ictivorous birds  
182 (Figure S1).

183 Based on rarefaction, we estimated that our sampling effort was suitable, capturing  
184 88 % of bird diversity by habitat and season. We found more homogeneous  
185 abundances in ridge habitat (Shannon exponential = 31.8) than bottomland habitat  
186 (28.6) whereas there was more homogeneity in the rainy season (Shannon  
187 exponential = 33.4) than less rainy season (30.2) (Table 1). Our sampling effort of  
188 frugivorous birds, by season and habitat, was also equally suitable, as the sample  
189 completeness curve approached the asymptote (Figure 1, b and d).

190 Nevertheless, a number of species were missed in both habitats and less rainy  
191 season samples. On the contrary, rainy season samples did not predict the same lack  
192 in sampling.

### 193 **3.2 Diversity of frugivorous birds**

194 Of the 62 bird species captured, 19 species in eight families were frugivorous or  
195 omnivorous and dispersed seeds. The family Pipridae (manakins) was the richest  
196 with eight species and included the dominant bird captured in both habitats and  
197 seasons, *Lepidothrix coronata* (41 % of all frugivorous birds captured). In contrast,  
198 31 % of frugivorous bird species were singletons for the study (Figure 3). Exactly,  
199 25.0 % of birds captured were juveniles or immatures (56 % of them consumed also  
200 arthropods), 44 % were male and 31% were female.

201 The 59 % of frugivorous bird species have shared habitats; In Contrast, birds such as  
202 *Mionectes oleagineus* (Tyrannidae), *Cyanoloxia rothschildii* (Cardinalidae) y  
203 *Euphonia xanthogaster* (Fringilidae) preferred bottomlands (where 87.5 %, 66.0 %  
204 y 75.0 % of species captures, respectively, occurred). *Machaeropterus striolatus* and  
205 *Cryptopipo holochlora* (Pipridae) were only captured in ridges, while *Ceratopipra*  
206 *erythrocephala* (Pipridae) was captured two times more frequently in ridges (Figure  
207 3).

208 A whole 69 % of frugivorous bird species were found in both seasons; the only bird  
209 captured in one specific space and time was *Tyranneutes stolzmanni* (Pipridae)  
210 captured only in bottomlands during the rainy season. *Dixiphia pipra* (Pipridae) was  
211 two times more abundant in the rainy season, while *Chiroxiphia pareola* (Pipridae;  
212 Figure 3) was six times more abundant in the less rainy season. Therefore, the rainy  
213 season was 30% less diverse than the less rainy season (e-Simpson: 4.30 vs. 5.60).

214 In rarefaction analysis, bottomlands during the rainy season had 66.0 % more  
215 evenness and diversity compared to ridges of the same season. However, ridges,  
216 during the less rainy season had 115.0 % more evenness and diversity than  
217 bottomlands of the same season.

### 218 **3.2 Seed transport capacity**

219 Birds on average transported  $1.41 \pm \text{SD } 0.85$  seed species per fecal sample. Also,  
220 per fecal sample one bird had transported  $17.7 \pm \text{SD } 24.2$  seeds. There were wide  
221 variations seasonally and spatially, however, they were not significant (Table 2).

222 Seed fecal sample dry weight significantly varied per habitat (Table 2 and Figure 4).  
223 Meaning that seed dry mass was heavier in bottomlands compared to ridges, this  
224 pattern remained seasonally. Seeds transported from the whole sample set had a dry  
225 mass of 2.92 g; Only one sample of *Psychotria* (Rubiaceae) was not a fecal sample,  
226 as it was regurgitated by *Ceratopipra erythrocephala* (Pipridae).

### 227 **3.3 Seed diversity**

228 We identified 1570 individual seeds belonging to 89 plant (morpho)species, in 18  
229 genera, and 14 families. We identified 12 % of seeds to species level, 86 % to genus  
230 and only 2 % to family level. Besides that, berries fruit type (74 %) and bushes habit  
231 (61 %) dominated the plants dispersed (Table 3). Melastomataceae was the most  
232 common family consumed by understory birds with 36 species or morphospecies  
233 from three genera. During the study 83 % of seed species were singletons. However,  
234 in the rainy season *Clidemia dimorphica* seeds dominated the sample (Figure 3),  
235 captured 14 times in seven bird species. Only 11 % of seed species shared seasons,  
236 and just 16 % shared habitats (Table 1).

237 Based on rarefaction, we concluded that sample effort was not suitable, as we  
238 collected by habitat just 35 % of seed diversity and by season just 38 %. This means  
239 3.5 times more species are expected by habitat and season, approximately, but with  
240 a sample effort of more than 500 collected samples (Figure 2). Globally, analyzing  
241 seed diversity by extrapolation we estimated 300 seed species effectively dispersed  
242 by understory birds that can be captured by mist nets, but with a sample effort of  
243 900 samples (Figure 2). Bottomlands had 16.0 % more homogeneous abundance  
244 than ridges (e-Shannon: 37.7 vs. 32.2), while seasonally, the less rainy season was  
245 2.2 times more diverse than the rainy season (e-Simpson: 31.5 vs. 14.1; Table 1),  
246 this seasonal diversity even increased in ridges.

247 *Anthurium* seeds (Araceae) were only found, with three species, in ridges during the  
248 less rainy season. But as the rains arrived, two seed species of this genus were only  
249 found in bottomlands, with *A. signatum* as 86.0 % of the genus captures. Similarly,  
250 70.8 % of *Philodendron* seeds (Araceae) were found in bottomlands, but invaded  
251 ridges with the rains (Figure 5).

252 Important seasonal segregation happened between seed genera. The less rainy  
253 season had 15 genera, though nine genera were found during the rainy season, with  
254 variations in dominance patterns. It should be noted that *Psychotria* (Rubiaceae) and  
255 *Tetracera* genus (Dilleniaceae) were specialists to the bottomlands and the rainy  
256 season; finally, *Rollinia* (Annonaceae) was found in the bottomlands during the less  
257 rainy season (Figure 3).

### 258 **3.4 Bird-seed relation**

259 Pipridae species showed generalist diet preferences, transporting 84.2 % of seed  
260 species. However, *C. pareola* and *D. pipra* showed specialization on berries from

261 Melastomataceae (66.6 % and 58.3 % of their respective seed ingestion). Similarly,  
262 *L. coronata* preferred *Philodendron* (30.0 % of seeds consumed). On the other hand,  
263 *Tyrannetes stoltmanni* was a generalist, feeding on six plant genera with no strong  
264 preferences (Figure 6).

265 Non-Pipridae birds were also seed specialists. *Geotrygon montana* (Columbidae) and  
266 *C. rothschildii* (Cardinalidae) feed on Melastomataceae berries. Meanwhile, *M.*  
267 *oleagineus* (Tyrannidae) feed on six plant genera, with no particular preferences for  
268 them (Figure 6).

269 *E. xanthogaster* (Fringilidae) specialized its diet on Araceae fruits (75.0 % of seeds  
270 consumed), following *Anthurium* fructification, demonstrated by the fact that 80.0%  
271 of this bird's captures happened in ridges during the less rainy season, but it  
272 completely moved to the bottomlands during the rains. For their part, *L. coronata*,  
273 during the less rainy season, was captured almost twice as many times in the  
274 bottomlands than the ridges, where *Philodendron* predominantly fruited.

275 *L. coronata* and *D. pipra* caused asymmetry on interaction webs. Both of them  
276 shared fruit diets with 66.6 % of frugivorous birds. *D. pipra* transported 22.4 % of  
277 the total seed species collected. While, *L. coronata* transported 42.6 %. As well,  
278 *Ceratopipra erythrocephala* transported 10.1 % of seed species collected and  
279 overlapped diets with 26.6 % of frugivorous bird species. Despite this asymmetry,  
280 nestedness of this web was not comprehensive, because several fruit food sources  
281 were exclusive to specialist birds (Figure 6).

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283

## 284 4. DISCUSSION

### 285 4.1 Bird diversity

286 Compared to the YNP comprehensive bird species list, we captured 10.5 % of the  
287 possible bird diversity (Freile, et al. 2018). This diversity between habitats shared a  
288 similar percentage of beta diversity estimated for the region based on previous studies  
289 of The Ecuadorian Amazon [ $\beta_{sim}= 0.150-0.422$ ; Melo et al. (2009)]. Also, frugivorous  
290 birds shared habitat as expected by this beta diversity estimation. However, it is  
291 important to note that Melo et al (2009) covered general bird diversity in the area and  
292 not only *terra firme* understory diversity. Even though habitats were contiguous and  
293 interspersed (Valencia et al. 2004), shared bird species were higher than expected in  
294 similar YNP forest study. Though such study area was separated by 1.5 km, 87 % of  
295 bird species shared habitats. This is likely due to the fact that the study effort was  
296 greater and used bird observation and not mist nets, explaining higher percentage of  
297 shared species (Blake, 2007). Blake (2007) also found *L. coronata*, dominance in  
298 habitats and seasons, as found in our study.

### 299 4.2 Seed diversity

300 According to Valencia et al. (2004 b), in the same forest and study area, trees and  
301 shrubberies had different composition between bottomlands and ridges, sharing only  
302 27 % of species. As a result, habitat vegetation inside the 50-ha plot had a Sørensen  
303 dissimilarity index of 73 %. In our study, seeds dispersed by birds registered a  
304 Sørensen dissimilarity index of 83.7 %, showing higher habitat specialization of  
305 plants dispersed by birds, as found by Legendre (2014), or the need for more  
306 sampling effort.

307 From all the seeds dispersed by birds in this study, 83.1 % were singletons. In  
308 another study inside YNP, done with understory piprids, almost 50.0 % of plant  
309 species dispersed were singletons, as their sample size was larger (Loiselle et al.,  
310 2007). However, this suggests that the seed diversity consumed by birds in the  
311 understory comes from a vast group of vascular plants. With greater sampling effort  
312 one could hope to capture around 20 % of seed species offered in the 50-ha plot  
313 (1600 species or morphospecies; Metz et al. 2010).

314 Shrub species that produce berries are the main food source for understory birds. So,  
315 unsurprisingly, dominant seeds were from the berry of the bush *C. dimorpha* and  
316 other berry producer species of the epiphyte genus *Philodendron*. Therefore, the  
317 seed diversity found was as expected, in accordance to Loiselle et al. (2007) who  
318 found *Philodendron*, *Clidemia*, *Cecropia*, *Anthurium* and other genera dominant in  
319 piprid diet. Definitively, all the dispersed fruits were fleshy, reducing the fruit food  
320 source to only two thirds of plant diversity, those which produce fleshy fruits in  
321 tropical forests (Wheelwright, 1988).

#### 322 **4.3 Seed transport capacity**

323 In the present study, we registered eight piprid species which dispersed 81.3 % of  
324 seed species. According to Karubian et al. (2012), in the Western Amazon more than  
325 five piprid species can be found, differing with Costa Rica where on average only two  
326 species can be found. Therefore, the Pipridae family is a key seed disperser in the  
327 Ecuadorian Amazon, as also found by Loiselle et al. (2007).

328 The least overlapped niche belongs to the bird *M. oleaginous*. that carried six seed  
329 genera, half of which were only found in this species samples, and all the species  
330 carried by *M. oleaginous* were unique in the sample. Westcott y Graham (2000),

331 found that *M. oleagineus* consume the *Miconia* and *Psychotria* genus, but these  
332 genera were not eaten by this bird in our study. In conclusion, the evidence shows  
333 both a very diverse and very specialized bird diet.

334 Some birds have a highly specialized diet, such as *E. xanthogaster* that transported  
335 75 % of Araceae, and though it has a known relation with Melastomataceae berries  
336 (Kessler-Rios y Kattan, 2012), no such plant was transported by this bird during the  
337 study. This resulted in this bird sharing as maximum of 14 % of its diet with  
338 *Dixiphia pipra*. Such specialist birds play a key role of mutual dependence with the  
339 seeds that they disperse (Hilty, 2020).

340 *Dixiphia pipra*, *Lepidothrix coronata* and *Ceratopipra erythrocephala* can be  
341 considered key dispersers in the study area, as concluded by Loiselle et al. (2007)  
342 inside a *terra firme* forest of YNP. These birds shared the majority of their seed  
343 species diet percentage with other understory birds, which aids in strengthening  
344 ecosystem resilience, where healthy populations of these birds mean better  
345 effectiveness in seed dispersion for important seed species, in both habitats and  
346 seasons. Despite this, according to Blake (2007), *D. pipra* and *C. erythrocephala*  
347 preferred ridge habitats, we only observed this pattern with *C. erythrocephala*.

#### 348 **4.4 Climatic and geographic effects over seed dispersion**

349 Level of rainfall was related with plant fructification and frugivorous bird diversity.  
350 YSS had 2.7 times more precipitation during the rainy season (July, 2021: 264.6  
351 mm) than the less rainy season (February, 2021: 97.7 mm). However, there are no  
352 clear seasonal phenological patterns in the study ecosystem (Bradley et al., 2011).  
353 Furthermore, understanding fructification patterns per habitat and season is a  
354 multifaceted and intricate process, that requires genus and species level studies, and

355 has several knowledge gaps: soil nutrients and water availability patterns, weather  
356 factors, inter and intraspecific competition, biomass productivity, etc. (Laurance et  
357 al. 1999; Grubb & Coomes. 1997; Willson et al.1989).

358 Based on literature two main factors proved to be predominant in defining  
359 fructification in the study area. Firstly, sun radiation and its annual cycle defined  
360 phenology in *terra firme* forests, including Yasuní (Bradley et al.,2011). In such  
361 ecosystems, more radiation improves photosynthesis, as well photosynthetic tissue  
362 development, however it does not stimulate floriation and fructification (Bradley et  
363 al.,2011; Jones, Kimball & Nemani, 2014). Secondly, soil and weather conditions  
364 that cause humidity can improve fruit production. Almeida-Neto et al. (2008)  
365 suggested that humidity significantly increased endozoochory between localities.  
366 They supported the idea with the hypothesis of metabolic cost proposed by Willson  
367 et al (1989) who argued that soil humidity and fertility enhance fleshy fruit  
368 production, which is metabolically expensive. However, Araujo (1970) found in an  
369 area of the Brazilian Amazon a small peak of fructification during the less rainy  
370 season, similarly to ours.

371 Frugivorous bird diversity through the less rainy season increased mainly in the ridge  
372 habitat. Birds clearly move into these areas at this time because of food resource  
373 availability, in the form of ripe fruits that were more diverse in the same season and  
374 habitat (Ridgely & Greenfield, 2001). Ridges have more biomass and tree density,  
375 meaning more shade (Valencia et al. 2009), which leads to the fact that the increase  
376 of solar radiation in the less rainy season could be insignificant in the ridges in terms  
377 of humidity, meaning fructification can actually improve during this time (Bradley et  
378 al.,2011; Almeida-Neto et al. 2008).

379 Frugivorous birds were less diverse, but more concentrated, in the rainy season in  
380 the bottomlands. Likewise, dispersed plant species were two times less diverse when  
381 the rains arrived, principally caused by the dominance of *C. dimorfica*. Such  
382 condition could be caused by the huge increase of water availability, further  
383 intensified in the bottomlands (Valencia et al. 2004 a; Naranjo, 2014). Garcia,  
384 Barros & Lemos-Filho, (2009) recognized more fructification at the start of the  
385 rainy season in a study region in the Brazilian Amazon Forest, contrary to the  
386 fructification peak during the less rainy season proposed by Araujo (1970).  
387 However, Morellato, Camargo & Gressler (2013) conclude that rainfall enhances  
388 fast fruit production mainly by herbs and bushes, that became more abundant during  
389 the rains. So, less seed diversity and frugivorous bird conglomeration in Yasuní  
390 bottomlands during the rainy season could be caused by predominance of fast-  
391 growing fleshy fruits, whose massive abundance masked true seed diversity in the  
392 dispersion sample. This dominance also reduced bird diversity by only attracting  
393 birds interested in this abundant but less diverse set of fruits, such as *T. stolzmanni*  
394 who clearly preferred this habitat and season.

395 Most of fruit production dispersed by birds, spatially and seasonally, had a partial  
396 synchrony in their phenology. These results did not agree with the highlighted  
397 asynchrony proposed by Jones, Kimball & Nemani (2014) though this could be  
398 partially due to the fact that they added canopy plants in their study. Furthermore,  
399 according to Poulin, Wright, Lefebvre & Calderon (1999), occasional inter and  
400 intraspecific synchronies of fructifications can lead to the conglomeration of  
401 disperser vectors and enhance dispersion.

402

#### 403 **4.5 Seed mass habitat variation**

404 Seed mass was three times greater in bottomlands than ridges (Table 2). As  
405 phenology process, seed size, and mass variation is a multifaceted and intricated  
406 analysis, with several knowledge gaps in Amazon region (Parolin & Junk, 2000;  
407 Foster & Janson, 1985; Grubb & Coomes, 1997) the exact drivers behind this  
408 difference are difficult to determine, however soil nutrients are a good candidate for  
409 the cause. For example, tropical African seeds proved to be bigger because of poor  
410 soil nutrients, since, in order to compensate for this, the mother plant provides more  
411 nutrients, increasing seed biomass (Hladik & Miquel, 1990). This same pattern is  
412 also found in New Zealand grass species (Lee & Fenner. 1989). Alternatively,  
413 Grubb & Coomes (1997), in the same region of our study, had found a complete  
414 lack of big seeds in Brazilian Caatinga habitats with poor soil nutrients when  
415 comparing to better nurtured ecosystems. Analysis of soil in Amazon ridge habitat  
416 near YNP showed significantly poorer soil nutrients than the nutrients rich  
417 bottomlands (Laurance et al. 1999). In conclusion, our findings support a similar  
418 pattern to the Brazilian Cattinga, where large seeds are absent in more nutrient-poor  
419 habitats.

420 On the other hand, Foster & Janson (1985) identified solar radiation as key factor in  
421 Amazonian seed mass variation, where bigger seeds germinate with low solar  
422 radiation below canopy. Also, Valencia et al. (2009) defined the ridge habitats with  
423 more tree density, meaning with more shade. In contrast, the bottomland habitats  
424 allow more solar radiation in the understory. Possibly, bottomland seeds take  
425 advantage of the solar radiation that reaches the ground to germinate below canopy  
426 with moderate shade condition, something that is impossible in the dark ridges.

#### 427 **4.6 Seasonal dispersion movements**

428 The Araceae family had conspicuous movements in their dispersion through habitat  
429 types. Herbs, principally epiphytes, produced fleshy fruits all year round, but usually  
430 increase their production in rainy seasons (Acosta-Mercado. 1996; Willson et a.  
431 1989). *A. signatum* fulfilled this pattern, which produced fruits in both seasons, but  
432 exploded production with the rains. On the contrary, habitat seasonal movement  
433 fructification has not been reported previously in scientific literature and its causes  
434 could be related to phenological, climatic and nutritional factors, among others  
435 (Bradley et al., 2011; Jones, Kimball & Nemani. 2014; Garcia, Barros & Lemos-  
436 Filho, 2009).

437 Spatial asynchrony of peak fructifications between genera was conspicuous, for  
438 example the habitat where *Anthurium* fructified, *Philodendron* avoided fruit  
439 production, and vice versa. Furthermore, spatial and temporal segregation among  
440 competitor seeds of vector dispersals had been registered previously by Warren,  
441 Giladi, & Bradford (2014). Hypothetically, both genus of Araceae avoid dispersal  
442 vector competition, splitting fructification spatially. Along with this, *Anthurium* fruit  
443 production was followed by *E. xanthogaster* and separately *L. coronata* followed  
444 *Philodendron* through habitats, but both dispersers occasionally consumed the other  
445 Araceae genus. Such preferences demonstrate a coevolutionary relation between seed  
446 genera and their dispersers, as previously observed by Kessler-Rios & Kattan,  
447 (2012) in *E. xanthogaster* and Loiselle et al. (2007) in *L. coronata*. Additionally,  
448 dietary preferences caused behavior variations in function of fruit production, in  
449 more extreme coevolutionary relations with fruit production, birds could develop  
450 nomadism (Karubian et al. 2012; Rey. 1995). Definitely, fruit production triggered

451 competition among plants that mold behavior and localization of all the frugivorous  
452 birds which compete with each other.

453 *D. pipra* preferred the rainy season just as *C. dimorphica* fructification, suggesting  
454 this bird followed the fructification of this abundant shrub, but consumed other plants  
455 as well, this bird is known to consume several seed species (Kessler-Rios and Kattan,  
456 2012; Loiselle et al. 2007), as also found by our study. Although their dispersion  
457 relations are wide and complex, this preference of *C. dimorphica* fructification peaks  
458 is novel, and in our data showed a preference of the Melastomataceae family for *D.*  
459 *pipra*.

460 Bird and plant coevolutionary dispersion relations trigger codependence. However, in  
461 megadiverse ecosystems as *terra firme* of Yasuní, there is no important symmetric  
462 interactions between fruits and birds. Likewise, nestedness tends to be high, because  
463 of the huge availability of fruit and equally huge dispersers demand. In *terra firme* it  
464 is not profitable to feed on only one plant species with all the diversity that is  
465 available. This idea is supported by the pattern previously observed by Loiselle et al.  
466 (2007) who identified a 0.93 % of niche overlap between piprids in a YNP forest.

#### 467 **4.3 Dietary evolutive traits**

468 In the 50-ha plot of YSS seed dispersion made up 42.56 % of the mass transported  
469 in the gut of birds (Figure 4) and all the seeds were uninjured and presumably  
470 fertile. Passeriform and Columbiform birds had a bill morphology designed to  
471 swallow the entire fruit pulp with the seeds inside and safe, but, big seeds tend to be  
472 regurgitated and not defecated (Loiselle et al. 2007; Naoki. 2003).

473 One exception to this is the Thraupidae family which is known to handle the fruit  
474 with its bill, disposing of seeds and solid tissues, reducing seed ingestion capability

475 (Murray, 1988). As a result, from the three tanager samples obtained just 10.58 % of  
476 the gut weight was seeds. Furthermore, 86.0 % of the sample from *Tachyphonus*  
477 *surinamus* belong to entomologic remains. Gorchov et al, (1995) had proposed this  
478 tanager species as omnivorous, and Naoki (2003) found that in omnivorous tanagers  
479 fruits represent more than half of their diet, because they are easier to find; but birds  
480 spend more time searching for arthropods, which are hard to find. Consequently, in  
481 our study *T. surinamus* seemed to consume fruits as a supplement to a preferential  
482 insectivorous diet, which was the classification previously proposed by Terborgh et  
483 al, (1990). As well, *Thamnophilus murinus* (Thamnophilidae) had just 1.39 % of its  
484 sample gut weight as seed remains, the rest were entomologic remains, suggesting it  
485 is an insectivorous bird with occasional seed ingestion (Schulenberg, 1983).

486 The present study registered 16 frugivorous birds which carried entomologic  
487 remains at similar percentage in both seasons and habitats, of which 56 % were  
488 immatures or juveniles. According to Morton (1973) an entirely frugivorous diet is  
489 extremely rare in nature, because this would mean risk in seasons with fruit  
490 shortages and also could mean low fast-growing animal protein for juveniles,  
491 slowing down their growth and exposing them to predators. Our analysis, during the  
492 study seasons, supports the hypothesis of juveniles need for entomological food  
493 sources to grow quickly and avoid predation.

## 494 **5. CONCLUSIONS**

495 Seed dispersion by endozoochory in understory *terra firme* forest of the western  
496 Amazon favors shrubs and epiphytes that produce berries, principally  
497 Melastomaceae bushes. This dispersion is done mainly by piprids, dominated by *L.*  
498 *coronata*. Understory seed dispersion of YNP is molded by tangled interactions

499 among habitats, seasonal rainfall, and solar radiation patterns, which determine bird  
500 species behavior around localization and diet preferences. Bottomlands provide  
501 more seed abundance, but less diversity, especially with the rains, and bigger seeds.  
502 While ridges had more fruit diversity dispersed, especially during the less rainy  
503 season. Rainfall highly influenced *Clidemia dimorfica* and other fast-growing herbs  
504 and shrub fruit abundance, which molded the entire relation dynamic, which is still  
505 not yet completely unraveled. However, our findings show important  
506 interconnectedness between flora and fauna of the region and with this demonstrate  
507 that potential changes in forest structure and dynamic caused by climate change and  
508 habitat degradation could trigger significant changes in plant and bird species  
509 composition and ecology.

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521 **6. TABLES**

522 **Table 1.** *Birds, frugivorous birds, and seed diversity.* ( $\lambda$ : Simpson Index;  $H'$ : Shannon  
 523 Index (\*:Indicates interpolation of index to confront same estimated sample coverage  
 524 obtained in INext Online); Abbreviatures: Bot: Bottomland; Rid: Ridge; LS: Less rainy  
 525 season; RS: Rainy season; Glo: Global).

a) Captures	Birds					Frugivorous and Omnivorous Birds					Seeds				
	Bot	Rid	LS	RS	Glo	Bot	Rid	LS	RS	Glo	Bot	Rid	LS	RS	Glo
No captures	152	178	172	157	329	57	46	56	47	103	77	55	64	68	132
No species	46	47	48	48	62	14	13	16	13	19	56	42	48	45	89
$\lambda$	0.04	0.04	0.05	0.04	0.04	0.20	0.23	0.23	0.17*	0.21	0.03*	0.03	0.03	0.07*	0.02
Reciprocal ( $\lambda$ )	21.6	22.1	19.9	24.0	NA	4.26	4.77	4.30	5.60*	NA	29.0*	32.7	31.5	14.1*	NA
H	3.35	3.46	3.40	3.50	3.57	1.99	1.89	2.05	2.04*	2.11	3.62*	3.47	3.70	3.10*	4.17
Exponential ( $H$ )	28.6	31.8	30.2	33.4	35.7	7.4	6.65	7.78	7.74*	8.3	37.7*	32.1	40.6	22.3*	65
Beta Sørensen	67%		71%		NA	59%		69%		NA	16%		11%		NA
Completeness	88%		88%		94%	88%		86%		93%	35%		38%		45%

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535 **Table 2.** Means by fecal sample and P value of ANOVA test by set (\*: significant P  
 536 value,  $\alpha = 0.05$ )

537		Bottomland	Ridge	Less rainy	Rainy	Global
	Seeds per fecal sample	1415	19.96	11.16	22.07	17.71
538	DF	Between groups	Within groups	Between groups	Within groups	
		1	60	1	60	
539	SS	Between groups	Within groups	Between groups	Within groups	
		522.56	610.12	1836.79	588.22	
540	Fvalue	0.85		3.12		
	Pvalue	0.35		0.08		----
541	Seed species per fecal sample	1.47	1.38	1.41	1.45	1.41
542	DF	Between groups	Within groups	Between groups	Within groups	
		1	60	1	60	
543	SS	Between groups	Within groups	Between groups	Within groups	
		0.12	1.04	0.02	1.04	
544	Fvalue	0.12		0.02		
	PValue	0.72		0.87		---
545	Seed weight per fecal sample	0.020	0.0071	0.0117	0.0140	0.0137
546	DF	Between groups	Within groups	Between groups	Within groups	
		1	69	1	60	
547	SS	Between groups	Within groups	Between groups	Within groups	
		0.003	0.0002	0.0000807	0.00026	
548	Fvalue	14.12		0.30		
	PValue	0.00035*		0.58		
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555 **Table 3.** Total seed number distribution by plant habit and fruit type. Eight fruit types  
556 and four plant habits were found.

	Tree	Shrub	Herb	Liana
Achene	9	-	-	-
Berry	-	63	35	-
Capsule	-	-	1	-
Drupe	5	3	1	-
Schizocarp	-	9	-	-
Follicle	-	-	-	3
Legume	-	-	1	-
Sincarpe	2	-	-	-

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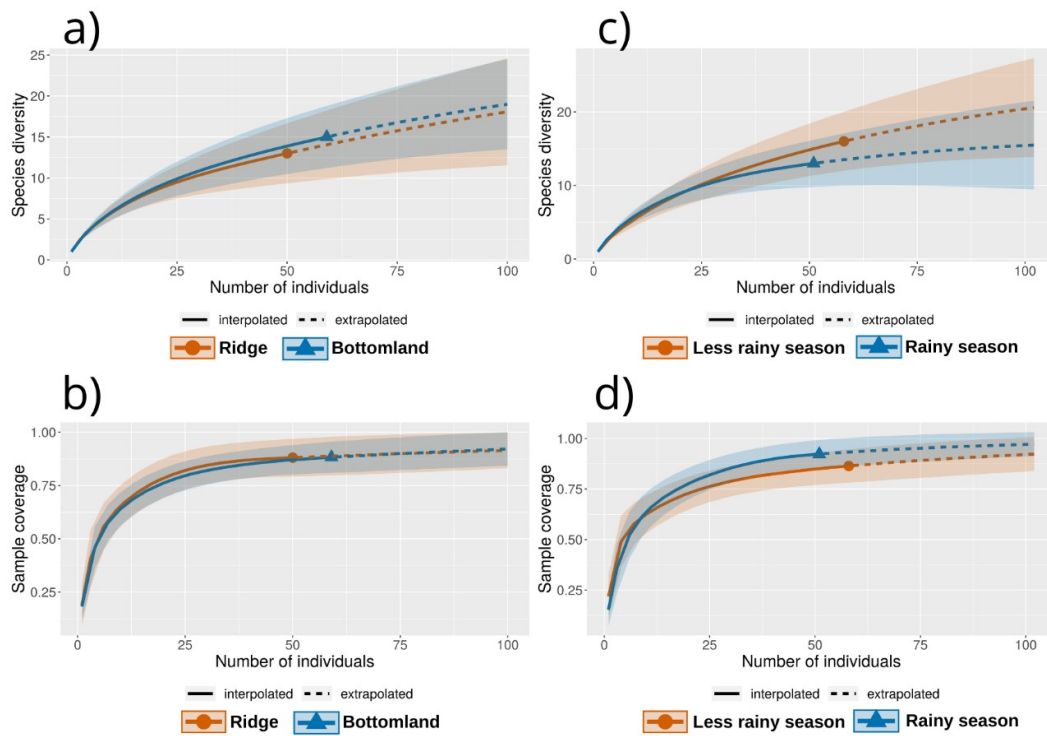
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## 572 7. FIGURES

573 **Figure 1.**

574 Species richness of frugivorous bird species captured in mist nets around the Yasuni forest  
 575 dynamics plot in 2021. Sample-size based rarefaction and extrapolation sample curve by a)  
 576 habitat and c) season. Sample completeness curve by b) habitat and d) season. Build in INEXT  
 577 online, developed by Chao & Hsieh (2016).



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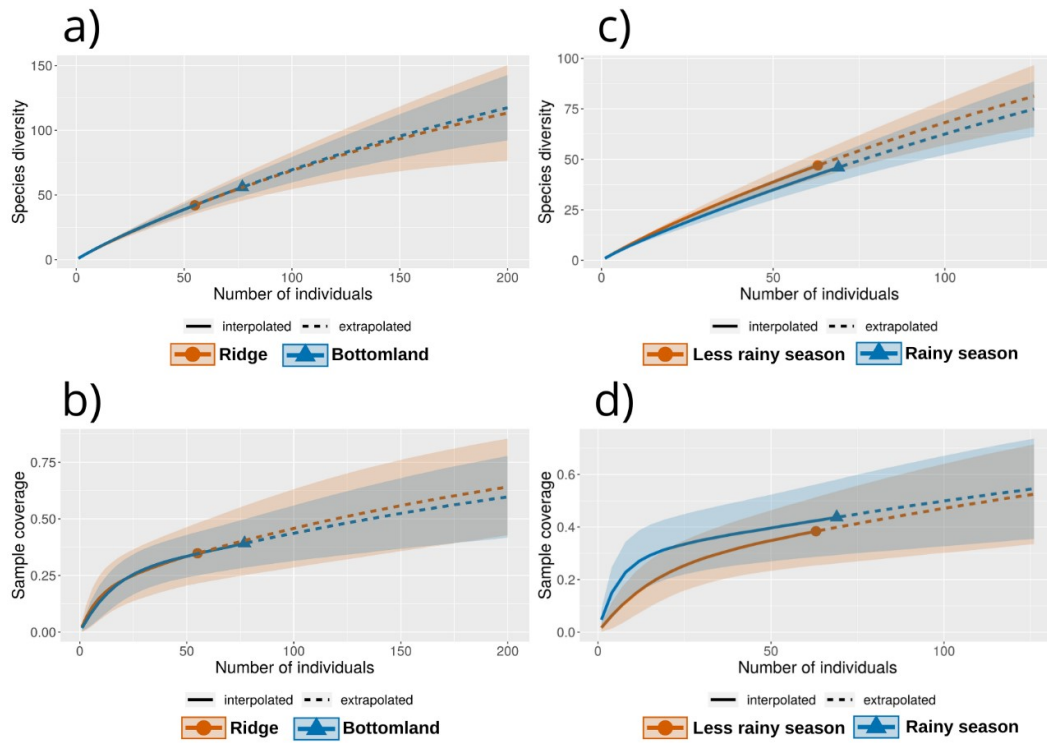
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585 **Figure 2.**

586 Species richness of seeds dispersed by frugivorous birds captured in mist nets around the  
 587 Yasuni forest dynamics plot in 2021. Sample-size based rarefaction and extrapolation sample  
 588 curve by a) habitat and c) season. Sample completeness curve by b) habitat and d) season. Build  
 589 in INEXT online, developed by Chao & Hsieh (2016).



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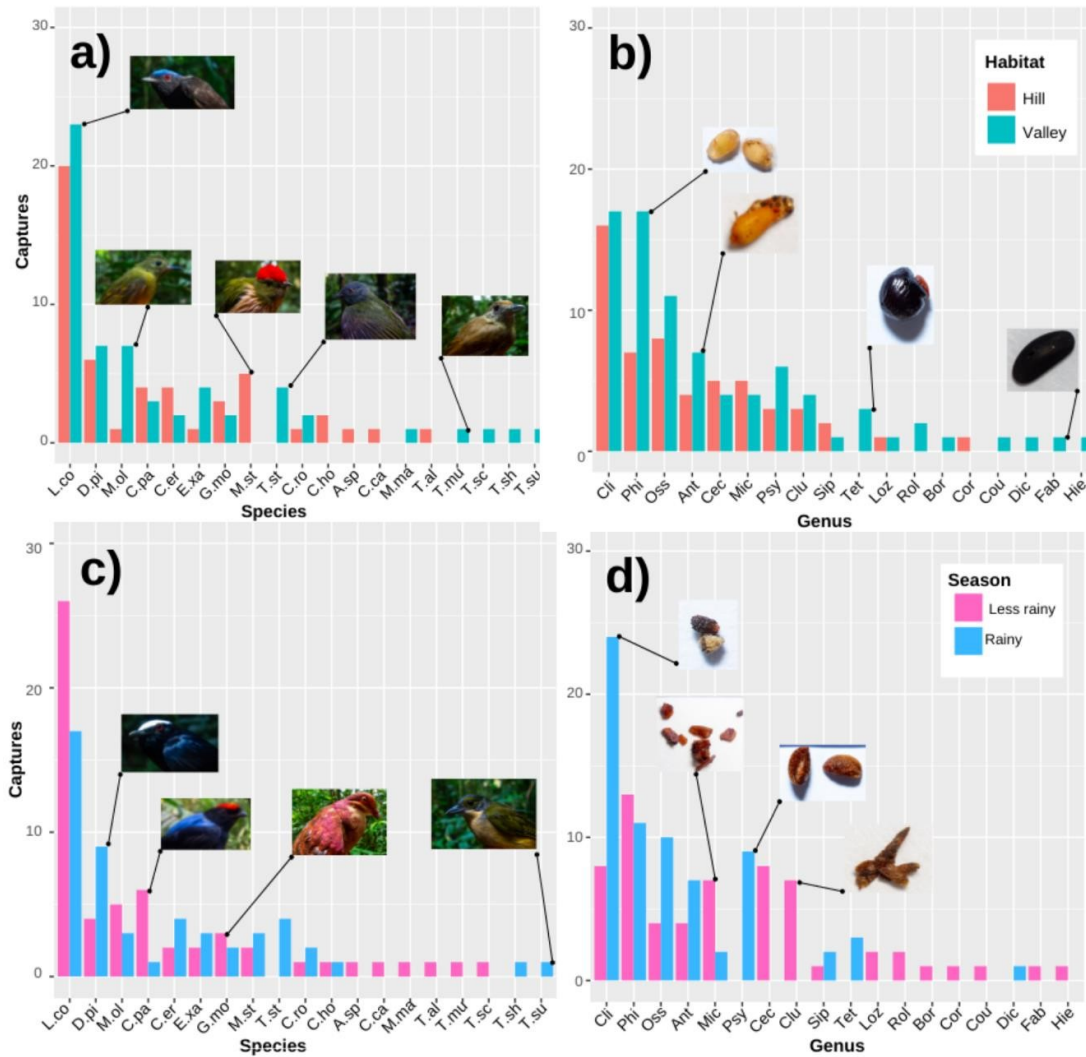
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598 **Figure 3.**

599 Bird species abundances at a) ridges (salmon color) and bottomlands (turquoise) and c) Less  
 600 rainy season (rose) and rainy season (light blue). Seed genus abundances at b) habitat and d)  
 601 rainy season; obtained by net number of captures (Species and genus abbreviations: Table S1).



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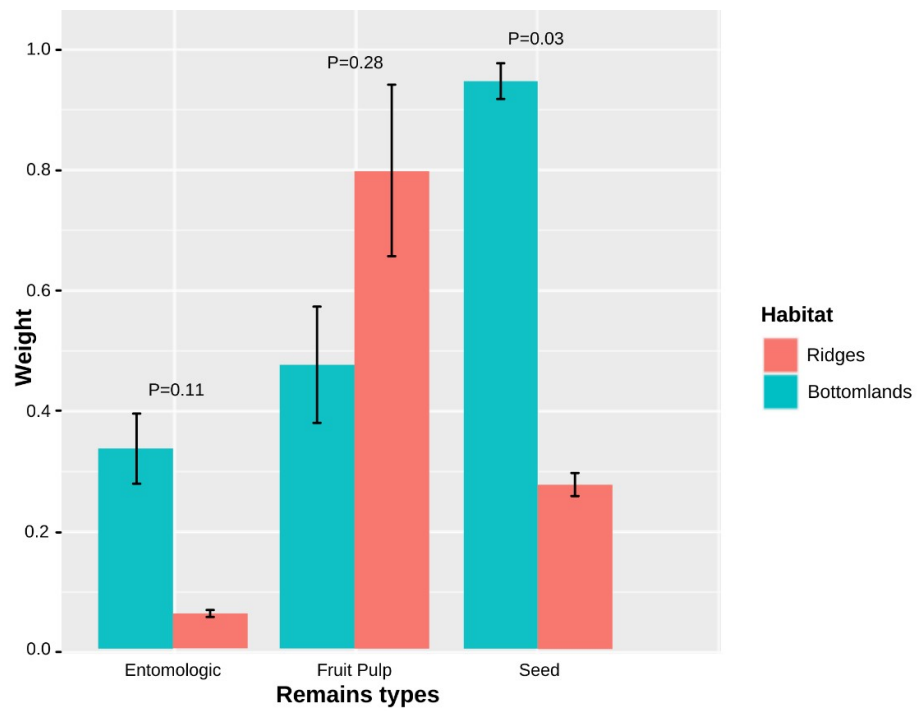
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605 **Figure 4.**

606 Weight distribution by fecal remain type among habitats with standard deviation and P

607 value



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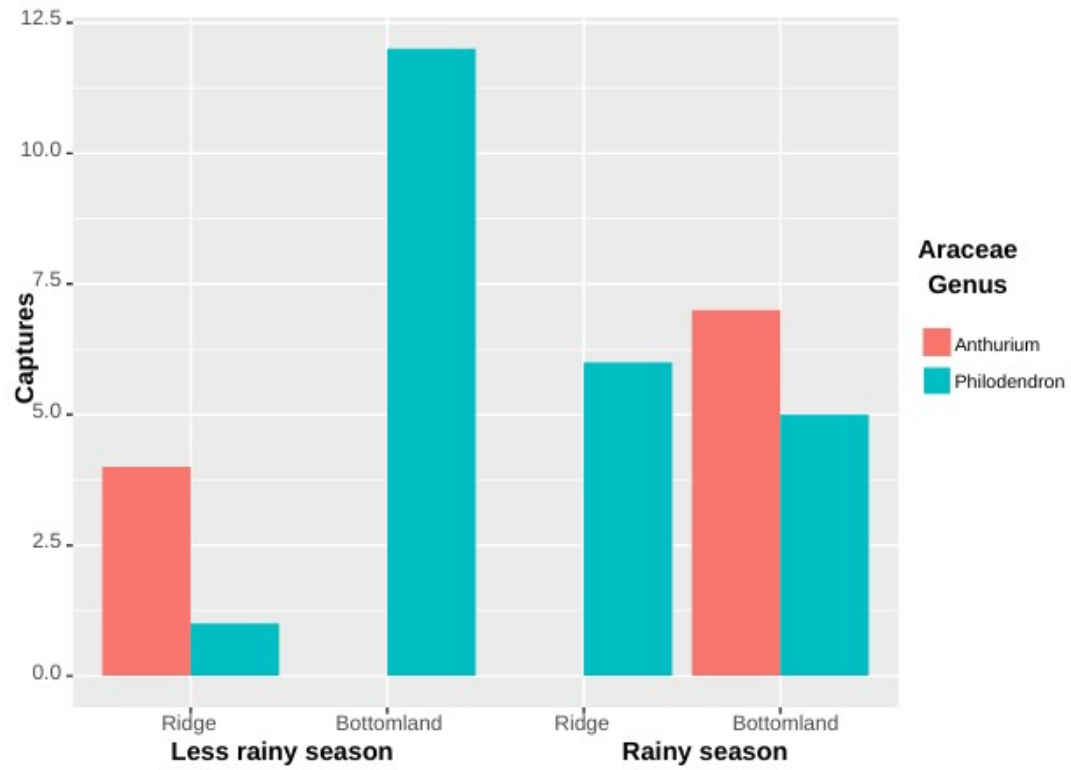
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617 **Figure 5.**

618 Seed dispersion frequency of Araceae family genus among habitats and year seasons.



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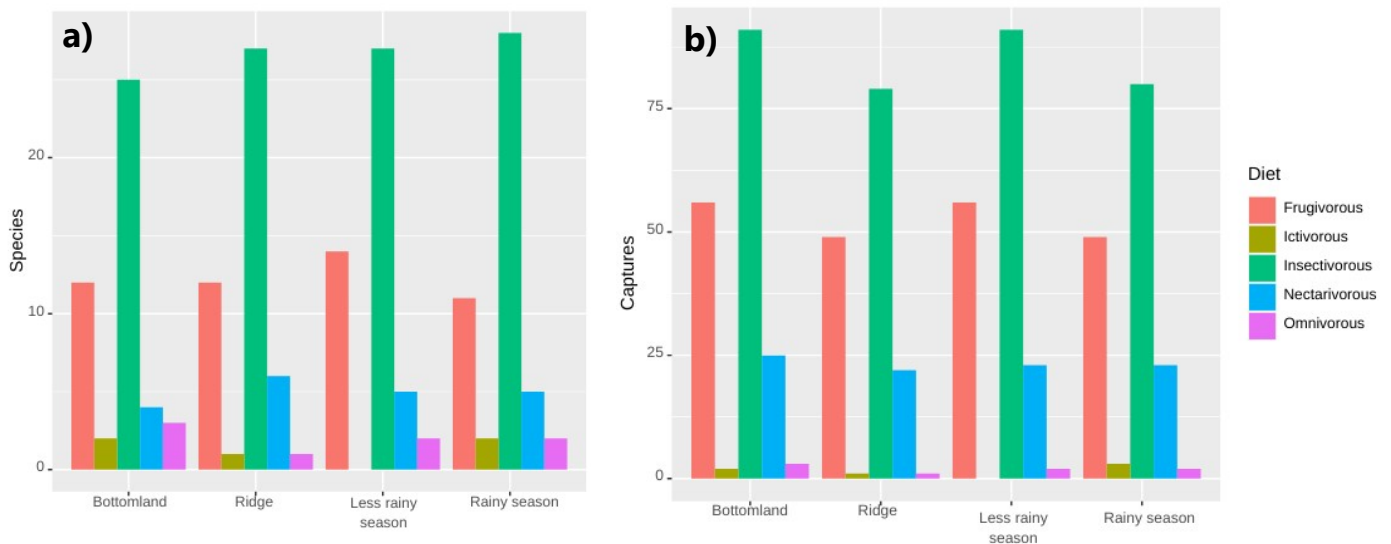
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842 **10. SUPPORTING INFORMATION**

843 **Figura S1.** Diet niche comparison among seasons and habitats a) species and b) captures844 **Table S1.** Frugivorous bird species and seed genus with its number of species captured, and

845 their respective abbreviations.

Family	Bird species	Abbreviate	Family	Seed Genus	Abbreviate	Species	Morphospecies
Tyrannidae	<i>Attila spadiceus</i>	Asp	Araceae	<i>Anthurium</i>	Ant	<i>signatum</i>	4
Pipridae	<i>Ceratopira erythrocephala</i>	Cer	Boraginaceae	Boraginaceae	Bor	-	1
Pipridae	<i>Chiroxiphia pareola</i>	Cpa	Urticaceae	<i>Cecropia</i>	Cec	<i>ficifolia, sciadophylla</i>	2
Pipridae	<i>Cryptopipo holochlora</i>	Cho	Melastomataceae	<i>Cidemia</i>	Ci	<i>dimorpha</i>	14
Thraupidae	<i>Cyanerpes caeruleus</i>	Cca	Clusiaceae	<i>Clusia</i>	Clu	-	7
Cardinalidae	<i>Cyanoxia rothschildi</i>	Cro	Cardiaceae	<i>Cordia</i>	Cor	-	1
Pipridae	<i>Dixiphia pipra</i>	Dpi	Urticaceae	<i>Coussapoa</i>	Cou	-	1
Fringilidae	<i>Euphonia xanthogaster</i>	Exa	Commelinaceae	<i>Dichorisandra</i>	Dic	-	1
Columbidae	<i>Geotrygon montana</i>	Gmo	Fabaceae	Fabaceae	Fab	-	1
Pipridae	<i>Lepidothrix coronata</i>	Lco	Phyllanthaceae	<i>Heronyma</i>	He	<i>alchomoides</i>	-
Pipridae	<i>Machaeropterus striolatus</i>	Mst	Lacistemaaceae	<i>Lozania</i>	Loz	<i>klugii</i>	-
Pipridae	<i>Manacus manacus</i>	Mma	Melastomataceae	<i>Miconia</i>	Mc	<i>grandifolia</i>	8
Tyrannidae	<i>Monectes oleagineus</i>	Mol	Melastomataceae	<i>Ossaea</i>	Oss	-	12
Thraupidae	<i>Tachyphonus surinanus</i>	T.su	Araceae	<i>Philodendron</i>	Phi	<i>platypodium</i>	15
Thraupidae	<i>Tangara schrankii</i>	T.sc	Rubiaceae	<i>Psychotria</i>	Psy	<i>poepigiana</i>	6
Thamophilidae	<i>Thamophilus murinus</i>	T.mu	Annonaceae	<i>Rollinia</i>	Rol	-	2
Thamophilidae	<i>Thamophilus schitaceus</i>	T.sh	Siparunaceae	<i>Siparuna</i>	Sip	<i>cuspidata</i>	2
Turdidae	<i>Turdus albicollis</i>	T.al	Dilleniaceae	<i>Tetracera</i>	Tet	<i>wildingiana</i>	1
Pipridae	<i>Tyrannetes stolzmanni</i>	T.st					

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## 4 INSTRUCCIONES PARA AUTORES